Stipancich John K Form 4 February 12, 2013

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

See Instruction

1. Name and Address of Reporting Person \* Stipancich John K

(Middle)

Symbol NEWELL RUBBERMAID INC

Issuer

[NWL]

2. Issuer Name and Ticker or Trading

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

(Check all applicable)

EVP, General Counsel & Secy

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

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C/O NEWELL RUBBERMAID INC., 3 GLENLAKE PKWY.

(First)

(Street)

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

(Month/Day/Year)

02/08/2013

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

| ATLANTA, | GA | 30328 |
|----------|----|-------|
|----------|----|-------|

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |   |                  |             |  |  |   |  |
|--------------------------------------|---|--|---|---|------------------|-------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transaction<br>Code<br>(Instr. 8) | Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|                                      |   |  | Code V                                  | Amount  | (A)<br>or<br>(D) | Price       | Transaction(s) (Instr. 3 and 4)  |  |   |  |
| Common<br>Stock                      | 02/08/2013                              |  | M                                       | 8,000   | A                | \$<br>21.74 | 27,274   | D  |   |  |
| Common<br>Stock                      | 02/08/2013                              |  | S                                       | 8,000   | D                | \$<br>24.14 | 19,274   | D  |   |  |
| Common<br>Stock                      | 02/08/2013                              |  | S                                       | 10,000  | D                | \$<br>24.14 | 9,274  | D  |   |  |
| Common<br>Stock                      | 02/11/2013                              |  | M                                       | 10,000  | A                | \$<br>23.94 | 19,274   | D  |   |  |
| Common<br>Stock                      | 02/11/2013                              |  | F                                       | 3,447   | D                | \$<br>23.94 | 15,827   | D  |   |  |

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| Common<br>Stock | 02/11/2013 | M | 10,320        | A | \$<br>23.94 | 26,147 | D |
|-----------------|------------|---|---------------|---|-------------|--------|---|
| Common<br>Stock | 02/11/2013 | F | 3,442         | D | \$<br>23.94 | 22,705 | D |
| Common<br>Stock | 02/11/2013 | A | 20,520<br>(1) | A | \$<br>23.94 | 43,225 | D |
| Common<br>Stock | 02/11/2013 | F | 6,844         | D | \$<br>23.94 | 36,381 | D |
| Common<br>Stock | 02/11/2013 | M | 27,000        | A | \$<br>13.64 | 63,381 | D |
| Common<br>Stock | 02/11/2013 | S | 300           | D | \$<br>24.26 | 63,081 | D |
| Common<br>Stock | 02/11/2013 | S | 300           | D | \$<br>24.27 | 62,781 | D |
| Common<br>Stock | 02/11/2013 | S | 1,500         | D | \$ 24.8     | 61,281 | D |
| Common<br>Stock | 02/11/2013 | S | 2,000         | D | \$<br>24.29 | 59,281 | D |
| Common<br>Stock | 02/11/2013 | S | 4,100         | D | \$ 24.3     | 55,181 | D |
| Common<br>Stock | 02/11/2013 | S | 5,400         | D | \$<br>24.31 | 49,781 | D |
| Common<br>Stock | 02/11/2013 | S | 893           | D | \$<br>24.32 | 48,888 | D |
| Common<br>Stock | 02/11/2013 | S | 3,500         | D | \$<br>24.33 | 45,388 | D |
| Common<br>Stock | 02/11/2013 | S | 3,700         | D | \$<br>24.34 | 41,688 | D |
| Common<br>Stock | 02/11/2013 | S | 4,507         | D | \$<br>24.35 | 37,181 | D |
| Common<br>Stock | 02/11/2013 | S | 700           | D | \$<br>24.36 | 36,481 | D |
| Common<br>Stock | 02/11/2013 | S | 100           | D | \$<br>24.37 | 36,381 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--|--|--------|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                 | (A)  | (D)    | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Options<br>(Right to<br>Buy)                        | \$ 21.74  | 02/08/2013                           |   | M                                      |  | 8,000  | (2)  | 08/26/2014         | Common<br>Stock   | 8,000                               |
| Restriced<br>Stock<br>Units                         | (3)   | 02/11/2013                           |   | M                                      |  | 10,000 | 02/09/2013   | <u>(4)</u>         | Common<br>Stock   | 10,000                              |
| Restricted<br>Stock<br>Units                        | (3)   | 02/11/2013                           |   | M                                      |  | 10,320 | 02/10/2013   | <u>(4)</u>         | Common<br>Stock   | 10,320                              |
| Options (Right to Buy)                              | \$ 13.64  | 02/11/2013                           |   | M                                      |  | 27,000 | 02/10/2013   | 02/10/2020         | Common<br>Stock   | 27,000                              |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Stipancich John K C/O NEWELL RUBBERMAID INC. 3 GLENLAKE PKWY. ATLANTA, GA 30328

EVP, General Counsel & Secy

02/12/2013

#### **Signatures**

/s/ Christine E. Hermann, Attorney in Fact for John K. Stipancich

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired upon vesting of performance-based restricted stock units previously granted on February 10, 2010.
- (2) Options became exercisable in equal installments on August 26, 2006, 2007, 2008 & 2009.
- (3) Each restricted stock unit was the economic equivalent of one share of Newell Rubbermaid common stock.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 3

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