Edgar Filing: PARKER HANNIFIN CORP - Form 3

PARKER HANNIFIN CORP

Form 3

September 08, 2016

(Print or Type Responses)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement PARKER HANNIFIN CORP [PH] A Bowman William R (Month/Day/Year) 09/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) PARKER-HANNIFIN (Check all applicable) CORPORATION, Â 6035 PARKLAND BLVD. 10% Owner Director _X__ Officer (Street) Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) VP-Pres - Instrumentation Grp _X_ Form filed by One Reporting Person CLEVELAND. OHÂ 44124 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 2,800 D Common Stock 9,268,552 Ι Parker Retirement Savings Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Ownership Beneficial Ownership Conversion (Month/Day/Year) **Derivative Security** (Instr. 5) or Exercise Form of

Edgar Filing: PARKER HANNIFIN CORP - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Phantom Stock	(1)	(1)	Common Stock	295.858	\$ <u>(1)</u>	I	Parker-Hannifin Corporation Savings Restoration Plan
Stock Appreciation Right	(2)	08/14/2022	Common Stock	4,510	\$ 81.86	D	Â
Stock Appreciation Right	(3)	08/13/2023	Common Stock	4,650	\$ 106.18	D	Â
Stock Appreciation Right	(4)	08/12/2024	Common Stock	3,500	\$ 113.19	D	Â
Stock Appreciation Right	(5)	08/11/2025	Common Stock	3,360	\$ 113.23	D	Â
Stock Appreciation Right	(6)	08/16/2026	Common Stock	9,910	\$ 124.36	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Bowman William R PARKER-HANNIFIN CORPORATION 6035 PARKLAND BLVD. CLEVELAND, OH 44124	Â	Â	VP-Pres - Instrumentation Grp	Â		

Date

Signatures

Rhoda M. Minichillo, 09/08/2016 Attorney-in-Fact **Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each share of phantom stock is the economic equivalent of one share of Parker common stock. The shares of phantom stock will be settled in cash upon termination of his employment, whether voluntary or involuntary, with Parker.
- (2) The SAR vested in three equal annual installments beginning 8/15/2013.
- (3) The SAR vested in three equal annual installments beginning 8/14/2014.
- (4) The SAR vests in three equal annual installments beginning 8/13/2015.
- (5) The SAR vests in three equal annual installments beginning 8/12/2016.
- The SAR vests in three equal annual installments beginning 8/17/2017.

Reporting Owners 2

Edgar Filing: PARKER HANNIFIN CORP - Form 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.