#### GULIS STEPHEN L JR

Form 4

October 02, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Check this box

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* GULIS STEPHEN L JR

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol

INDEPENDENT BANK CORP /MI/

(Check all applicable)

[IBCP]

(Last) (First) (Middle)

(Street)

(State)

3. Date of Earliest Transaction (Month/Day/Year)

X Director Officer (give title below)

10% Owner Other (specify

4200 E BELTLINE AVE

02/16/2016

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

GRAND RAPIDS, MI 49525

(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned

Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4)

(Instr. 4)

Reported (A) Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount of 8. P TransactionDerivative **Expiration Date Underlying Securities** Derivative Conversion (Month/Day/Year) Execution Date, if Der (Month/Day/Year) (Instr. 3 and 4) Security or Exercise Code Securities Seci any

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)	Acquired or Dispose (D) (Instr. 3, 4 and 5)	ed of					(Ins
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	<u>(1)</u>	02/16/2016		A	V	245.94		<u>(1)</u>	<u>(1)</u>	Common Stock	245.94	\$ 1
Phantom Stock Units	<u>(1)</u>	05/16/2016		A	V	243.63		<u>(1)</u>	<u>(1)</u>	Common Stock	243.63	\$ 1
Phantom Stock Units	<u>(1)</u>	08/15/2016		A	V	222.8		<u>(1)</u>	<u>(1)</u>	Common Stock	222.8	\$ 1
Phantom Stock Units	<u>(1)</u>	11/15/2016		A	V	250.48		<u>(1)</u>	<u>(1)</u>	Common Stock	250.48	\$ 1
Phantom Stock Units	<u>(1)</u>	02/15/2017		A	V	213.74		<u>(1)</u>	<u>(1)</u>	Common Stock	213.74	\$ 2
Phantom Stock Units	<u>(1)</u>	05/15/2017		A	V	214.44		<u>(1)</u>	<u>(1)</u>	Common Stock	214.44	\$ 2
Phantom Stock Units	<u>(1)</u>	08/15/2017		A	V	219.81		<u>(1)</u>	(1)	Common Stock	219.81	\$ 2

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GULIS STEPHEN L JR 4200 E BELTLINE AVE GRAND RAPIDS, MI 49525	X						

# **Signatures**

s/Darcy J. Benjamin,
Attorney-in-Fact

\*\*Signature of Reporting Person

Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Phantom Stock Units were accrued under the Independent Bank Corporation Deferred Compensation and Stock Purchase Plan for Non-Employee Directors and are to be settled in Independent Bank Corporation Common Stock upon the reporting person's retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.