#### Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

#### PROVIDENT FINANCIAL SERVICES INC

Form 4

February 22, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per response... 0.5

See Instruction

1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Add MARTIN CH		_	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
		PROVIDENT FINANCIAL SERVICES INC [PFS]		(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below)		
239 WASHINGTON STREET			02/19/2017	Chairman, President and CEO		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
	Filed(Month/Day/Year)					
JERSEY CITY, NJ 07302						
(City)	(State)	(Zin)				

(City)	(State)	(Zip) Tabl	le I - Non-D	Derivative	Secur	ities Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/19/2017		Code V F	Amount 1,118	(D)	Price \$ 26.53	316,805	D	
Common Stock							139,804 (1)	I	By 401(k) Plan
Common Stock							12,979 (1)	I	By ESOP
Common Stock							17,785	I	By Deferred

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Fee Plan

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SEC 1474 (9-02)

> 8. Pri Deriv Secur (Instr

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. oriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 18.34					02/19/2016	02/19/2025	Common Stock	65,972 (2)
Stock Options	\$ 12.54					01/29/2009	01/29/2018	Common Stock	13,020 (3)
Stock Options	\$ 16.38					02/19/2015	02/19/2024	Common Stock	35,000 (4)
Stock Options	\$ 14.88					02/03/2013	02/03/2022	Common Stock	25,000 (4)
Stock Options	\$ 10.4					02/03/2010	02/03/2019	Common Stock	16,600 (3)
Stock Options	\$ 10.34					03/04/2013	02/03/2020	Common Stock	64,433 (3)
Stock Options	\$ 16.38					03/04/2016	02/19/2024	Common Stock	45,762 (3)
Stock Options	\$ 10.4					02/03/2012	02/03/2019	Common Stock	3,718 (3)
Stock Options	\$ 18.7					02/24/2017	02/24/2026	Common Stock	76,327 (2)
Stock Options	\$ 19.1					03/04/2014	02/24/2021	Common Stock	14,622 (3)
Stock Options	\$ 15.23					02/19/2014	02/19/2023	Common Stock	35,000 (4)
Stock Options	\$ 14.5					02/24/2012	02/24/2021	Common Stock	50,000 (4)

## **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer

Other

MARTIN CHRISTOPHER P 239 WASHINGTON STREET X JERSEY CITY, NJ 07302

Chairman, President and CEO

# **Signatures**

/s/ Leonard G. Gleason, Pursuant to Power of 02/22/2017 Attorney

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (2) Stock options vest at a rate of 33.3% per year.
- (3) Stock options have fully vested.
- (4) Stock options vest at a rate of 20% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3