Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

PROVIDENT FINANCIAL SERVICES INC

Form 4

August 05, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer **BLUM DONALD W** Symbol PROVIDENT FINANCIAL (Check all applicable) SERVICES INC [PFS] 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction Director X_ Officer (give title Other (specify (Month/Day/Year) below) 239 WASHINGTON STREET 08/05/2016 EVP/CLO of The Provident Bank (Street) 4. If A Filed(

JERSEY CITY, NJ 07302

Amendment, Date Original	6. Individual or Joint/Group Filing(Check
(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secui	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	08/05/2016		M	10,000 (1)	A	\$ 18.87	73,698	D	
Common Stock	08/05/2016		S	401	D	\$ 20.71	73,297	D	
Common Stock	08/05/2016		S	100	D	\$ 20.705	73,197	D	
Common Stock	08/05/2016		S	100	D	\$ 20.7025	73,097	D	
Common Stock	08/05/2016		S	1,299	D	\$ 20.7	71,798	D	

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Common Stock	08/05/2016	S	100	D	\$ 20.695	71,698	D	
Common Stock	08/05/2016	S	2,200	D	\$ 20.69	69,498	D	
Common Stock	08/05/2016	S	300	D	\$ 20.685	69,198	D	
Common Stock	08/05/2016	S	1,310	D	\$ 20.68	67,888	D	
Common Stock	08/05/2016	S	1,400	D	\$ 20.67	66,488	D	
Common Stock	08/05/2016	S	800	D	\$ 20.66	65,688	D	
Common Stock	08/05/2016	S	1,990	D	\$ 20.65	63,698	D	
Common Stock						5,683 <u>(2)</u>	I	By 401(k)
Common Stock						16,774 (2)	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Stock Options	\$ 18.87	08/05/2016		M		10,000	09/21/2007	09/21/2016	Common Stock	10,000		
Stock Options	\$ 10.34						03/04/2013	03/04/2023	Common Stock	14,046 (3)		
Stock Options	\$ 10.4						02/03/2010	02/03/2019	Common Stock	8,090 (3)		

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Stock Options	\$ 12.54	01/29/2009	01/29/2018	Common Stock	6,100 (3)
Stock Options	\$ 17.94	01/29/2008	01/29/2017	Common Stock	10,988 (3)
Stock Options	\$ 10.4	02/03/2012	02/03/2019	Common Stock	2,417 (3)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BLUM DONALD W 239 WASHINGTON STREET JERSEY CITY, NJ 07302

EVP/CLO of The Provident Bank

Signatures

/s/ Leonard G. Gleason, Pursuant to Power of Attorney

08/05/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock options expiring on September 21, 2016
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Stock options have fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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