Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

PROVIDENT FINANCIAL SERVICES INC

Form 4 March 08, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

17,785

I

See Instruction 1(b).

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person *

MARTIN CHRISTOPHER P

WARTIN CHRISTOFFIER F			PROVIDENT FINANCIAL SERVICES INC [PFS]						(Check all applicable)				
(Last) (First) (Middle) 239 WASHINGTON STREET				3. Date of (Month/D 03/04/2)	ay/Year)	Tra	ansaction			X Director 10% OwnerX Officer (give title Other (specify below) Chairman, President and CEO			
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
	JERSEY CI							Person					
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially										ly Owned			
	1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	med on Date, if Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
	Common Stock	03/04/2016			A	•	16,847 (1)	A	\$ 0	325,722	D		
	Common Stock	03/04/2016			F		7,799	D	\$ 19.38	317,923	D		
	Common Stock									136,534 (2)	I	By 401(k) Plan	
	Common Stock									11,741 (2)	I	By ESOP	
												By	

Deferred

Fee Plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed (D) (Instr. 3, 4, and 5)		ve s l (A) sed of	6. Date Exerci Expiration Da (Month/Day/Y	te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 16.38	03/04/2016		A	45,762		03/04/2016	02/19/2024	Common Stock	45,762
Stock Options	\$ 18.34						02/19/2016	02/19/2025	Common Stock	65,972
Stock Options	\$ 12.54						01/29/2009	01/29/2018	Common Stock	13,020
Stock Options	\$ 16.38						02/19/2015	02/19/2024	Common Stock	35,000
Stock Options	\$ 14.88						02/03/2013	02/03/2022	Common Stock	25,000
Stock Options	\$ 10.4						02/03/2010	02/03/2019	Common Stock	16,600
Stock Options	\$ 17.94						01/29/2008	01/29/2017	Common Stock	27,267
Stock Options	\$ 10.34						03/04/2013	03/04/2023	Common Stock	64,433
Stock Options	\$ 10.4						02/03/2012	02/03/2019	Common Stock	3,718
Stock Options	\$ 18.7						02/24/2017	02/24/2026	Common Stock	76,327
Stock Options	\$ 19.1						03/04/2014	03/04/2024	Common Stock	14,622
Stock Options	\$ 15.23						02/19/2014	02/19/2023	Common Stock	35,000
	\$ 14.5						02/24/2012	02/24/2021		50,000

Stock Common Options Stock

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MARTIN CHRISTOPHER P 239 WASHINGTON STREET JERSEY CITY, NJ 07302

X

Chairman, President and CEO

Signatures

/s/ Leonard G. Gleason, Pursuant to Power of Attorney

03/08/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Performance vesting stock awards granted on February 19, 2014 based on meeting certain performance criteria.
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Performance stock options granted on February 19, 2014 at fair market value which vested on March 4, 2016 based on meeting certain performance criteria.
- (4) Stock options vest at a rate of 33.3% per year.
- (5) Stock options have fully vested.
- (6) Stock options vest at a rate of 20% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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