Avinger Inc Form 3/A July 25, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

(Print or Type Responses)

1. Name and Address of Reporting

ohn D.		Statement (Month/Day/Year)	Avinger Inc [AVGR]				
(First)	(Middle)	07/12/2016	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
PEAKE D	RIVE		(Check	k all applicable)		07/22/2016	
(Street)			(Circuit)			6. Individual or Joint/Group	
â 94063				Other (specify below)	ow) I	Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One	
	(Zin)	Reporting Person					
(State)	(Zip)					_	
ity				3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Natu Owners (Instr. 5	*	
ck		37,037		I		David Simpson Trustee of son Trust Dated 12/9/08	
ck		5,036		I		David Simpson Trustee of son Trust Dated 12/9/08	
ck		76,490		I		David Simpson Trustee of son Trust Dated 12/9/08	
ck		30,974		I		David Simpson Trustee of son Trust Dated 12/9/08	
ck		39,681		I		David Simpson Trustee of son Trust Dated 12/9/08	
ck		62,379		I		David Simpson Trustee of son Trust Dated 12/9/08	
i	(First) PEAKE Di (Street) 94063 (State) ity	(First) (Middle) PEAKE DRIVE (Street) 94063 (State) (Zip) ity ck ck ck ck	(First) (Middle) 07/12/2016 PEAKE DRIVE (Street) A 94063 (State) (Zip) Table I - It 2. Amount of Beneficially (Instr. 4) ck 37,037 ck 5,036 ck 76,490 ck 30,974 ck 39,681	(First) (Middle) 07/12/2016 4. Relationshi Person(s) to Is (Check (Check (Street) A 94063 (State) (Zip) Table I - Non-Derivat 2. Amount of Securities Beneficially Owned (Instr. 4) ck 37,037 ck 5,036 ck 76,490 ck 30,974 ck 39,681	(First) (Middle) 07/12/2016 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) (Check all applicable) ———————————————————————————————————	(First) (Middle) 07/12/2016 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) (Specify below) Sr. VP, Sales & Courities Beneficially Owned (Instr. 4) (Instr. 4) (Instr. 5) Couriect (I) (Instr. 5) Couriec	

Simpson Trust Dated 12/9/08

Common stock

980

I John David Simpson Trustee of Simpson Trust dated 12/9/08

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)		
Option (right to buy)	12/31/2014	12/31/2024	Common Stock	22,222	\$ 4.5	D	Â	
Option (right to buy)	12/31/2014	12/31/2024	Common Stock	36,161	\$ 4.5	D	Â	
Option (right to buy)	03/09/2010	03/09/2020	Common Stock	5,747	\$ 4.95	D	Â	
Option (right to buy)	06/16/2010	07/01/2020	Common Stock	4,738	\$ 4.95	D	Â	
Option (right to buy)	07/29/2011	07/29/2021	Common Stock	952	\$ 12.6	D	Â	
Option (right to buy)	07/29/2011	07/29/2021	Common Stock	7,936	\$ 12.6	D	Â	
Option (right to buy)	05/01/2013	05/01/2023	Common Stock	4,938	\$ 20.25	D	Â	
Option (right to buy)	05/01/2013	05/01/2023	Common Stock	10,211	\$ 20.25	D	Â	
Stock Option (Right to Buy)	03/03/2017(1)	03/03/2026	Stock Option (Right to Buy)	15,000	\$ 12.99	D	Â	
Restricted Stock Units	03/03/2017	(3)	Common stock	7,500 (4)	\$ <u>(2)</u>	D	Â	
Warrants	09/02/2014	09/02/2016	Common stock	27,777	\$ 12.6	I	John David Simpson Trustee of Simpson	

Trust dated 12/9/08

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Simpson John D. 400 CHESAPEAKE DRIVE REDWOOD CITY. CA 94063	Â	Â	Sr. VP, Sales & Samp; Marketing	Â		

Signatures

/s/ John D
Simpson

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock options vest 25% on the first anniversary of the transaction date and monthly thereafter in 36 equal increments.
- (2) No exercise price for this type of award
- (3) No expiration date for this type of award
- (4) Reporting person received a grant under the 2015 Plan of RSUs with a grant date fair value of \$12.99 per share. The RSUs vest annually over four years in equal increments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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