Barzun Brooke Brown Form 4 January 14, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*

Barzun Brooke Brown

(First) (Middle)

(Zip)

any

Execution Date, if

(Month/Day/Year)

2. Transaction Date 2A. Deemed

850 DIXIE HWY

(Last)

(City)

1.Title of

Security

(Instr. 3)

Class B

Common Class B

Common Class B

Common Class B

Common

Class B

Common

(Street)

(State)

(Month/Day/Year)

LOUISVILLE, KY 40210

2. Issuer Name and Ticker or Trading

Symbol

BROWN FORMAN CORP [BFA, BFB]

3. Date of Earliest Transaction

(Month/Day/Year) 07/11/2012

3.

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired Transaction(A) or Disposed of (D)

Code (Instr. 8)

or Code V Amount (D) Price

(Instr. 3, 4 and 5)

(A)

Owned Following Reported Transaction(s)

or Indirect (I) (Instr. 4) (Instr. 3 and 4)

187 (1) Ι **UTMA** 

Ι Eq. Tr.

#### **OMB APPROVAL**

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director X\_\_ 10% Owner

Other (specify Officer (give title

7. Nature of

Ownership

(Instr. 4)

Indirect Beneficial

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6.

Ownership

Direct (D)

Form:

Person

5. Amount of

Securities

Beneficially

below)

562 (2) Ι **UTMA** 562 (2) Ι **UTMA** Owsley Brown  $1.980^{(3)}$ I II IRA CLB 39,447 <sup>(4)</sup> Grandchildren

### Edgar Filing: Barzun Brooke Brown - Form 4

Class B Common							12,895 (5)	I	Eleanor Lee Trusts
Class B Common							546 <u>(6)</u>	I	Hebe Exempt Trust
Class B Common							215,284 (7)	I	Hebe Three Limited Partnership
Class B Common	08/20/2012	W	V	192,223 (8)	D	\$0	0	I	Hebe Non-Exempt Trust
Class B Common	08/20/2012	W	V	64,075	A	\$0	64,075	I	Hebe Trust fbo Brooke Barzun
Class B Common	12/19/2012	G	V	43,470	D	\$0	20,605	I	Hebe Trust fbo Brooke Barzun
Class B Common							19,200 (9)	I	Longview Charitable LP
Class B Common							607 (10)	I	Trust fbo child
Class B Common							1,561 (11)	I	Trust fbo child
Class B Common							1,561 (11)	I	Trust fbo child
Class B Common	12/24/2012	G	V	146,786	A	\$0	147,153 (12)	I	Trust fbo children
Class B Common							5,109 (13)	I	Trust fbo Brooke Barzun
Class B Common							6,703,641 (14)	I	Olympus Three, LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Own
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans

of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Expiration Title Amount Exercisable

Number of Shares

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	•	Other			
Barzun Brooke Brown							
850 DIXIE HWY		X					
LOUISVILLE KY 40210							

# **Signatures**

Diane M. Barhorst, Atty in Fact for Brooke Brown Barzun

01/14/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On August 10, 2012, the Class A and Class B common stock of Brown-Forman Corporation split 3-2, resulting in the reporting person's **(1)** acquisition of 62 additional shares of Class B common stock.
- **(2)** Includes additional 187 Class B shares issued in the August 2012 stock split.
- **(3)** Includes additional 660 Class B shares issued in the August 2012 stock split.
- Includes additional 13,149 Class B shares issued in the August 2012 stock split. **(4)**
- Includes additional 4,298 Class B shares issued in the August 2012 stock split. **(5)**
- Includes additional 182 Class B shares issued in the August 2012 stock split. **(6)**
- Includes additional 71,761 Class B shares issued in the August 2012 stock split. **(7)**
- Includes additional 64,074 Class B shares issued in the August 2012 stock split. **(8)**
- Includes additional 6,400 Class B shares issued in the August 2012 stock split. **(9)**
- Includes additional 202 Class B shares issued in the August 2012 stock split.
- Includes additional 520 Class B shares issued in the August 2012 stock split.
- Includes additional 122 Class B shares issued in the August 2012 stock split.
- Includes additional 1,703 Class B shares issued in the August 2012 stock split. **(13)**
- (14) Includes additional 2,234,547 Class B shares issued in the August 2012 stock split.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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