SUPERIOR UNIFORM GROUP INC

Form 4 April 12, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * SCHWARTZ ALAN/FL

2. Issuer Name and Ticker or Trading

Symbol

SUPERIOR UNIFORM GROUP INC [SGC]

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

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Number:

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response...

SUPERIOR UNIFORM

(Middle)

04/10/2017

3. Date of Earliest Transaction

(Month/Day/Year)

_X__ Director 10% Owner Officer (give title Other (specify

GROUP, 10055 SEMINOLE BLVD.

(First)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

SEMINOLE, FL 33772

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secur	ities Acqu	ired, Disposed of	, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed 4 and : (A) or	of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/10/2017		Code V M	Amount 17,714 (1)	(D)	Price \$ 5.645	236,717	D	
Common Stock	04/10/2017		M	13,586 (2)	A	\$ 7.36	250,303	D	
Common Stock	04/10/2017		F	10,406 (3)	D	\$ 19.22	239,897	D	
Common Stock	04/10/2017		M	24,286 (4)	A	\$ 5.645	264,183	D	
Common Stock	04/10/2017		D	7,133 (5)	D	\$ 19.22	257,050	D	

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Common Stock	04/10/2017	F	4,692 (6)	D	\$ 19.22	252,358	D
Common Stock	04/10/2017	M	20,414 (4)	A	\$ 7.36	272,772	D
Common Stock	04/10/2017	D	7,818 (5)	D	\$ 19.22	264,954	D
Common Stock	04/10/2017	F	3,446 (6)	D	\$ 19.22	261,508	D
Common Stock	04/10/2017	M	8,884 (4)	A	\$ 16.35	270,392	D
Common Stock	04/10/2017	D	7,558 (5)	D	\$ 19.22	262,834	D
Common Stock	04/10/2017	F	363 (6)	D	\$ 19.22	262,471	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ar or Nu of
Stock Options (Right to Buy)	\$ 5.645	04/10/2017		M	17,714	02/01/2013(7)	02/07/2018	Common Stock	1′
Stock Options (Right to Buy)	\$ 7.36	04/10/2017		M	13,586	02/07/2014(7)	02/07/2019	Common Stock	1:
Stock Appreciation Rights	\$ 5.645	04/10/2017		M	24,286	02/01/2013(8)	02/01/2018	Common Stock	24

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Stock Appreciation Rights	\$ 7.36	04/10/2017	M	20,414	02/07/2014(8)	02/07/2019	Common Stock	2
Stock Appreciation Rights	\$ 16.35	04/10/2017	M	8,884	02/05/2016(8)	02/05/2021	Common Stock	8

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

SCHWARTZ ALAN/FL
SUPERIOR UNIFORM GROUP
10055 SEMINOLE BLVD.

Signatures

SEMINOLE, FL 33772

/s/ Alan D.
Schwartz

_**Signature of Reporting Person

O4/12/2017

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired upon exercise of stock options.
- (2) Shares acquired upon exercise of stock options.
- (3) These shares were delivered to the Issuer to satisfy the exercise price of 31,300 stock options.
- (4) Shares acquired upon the exercise of stock-settled stock appreciation rights.
- (5) Shares disposed of to the issuer to cover the exercise price of the exercised stock-settled stock appreciation rights.
- (6) Shares withheld by the issuer to cover applicable withholding taxes related to the exercise of the stock-settled stock appreciation rights.
- (7) These options are fully vested on date of grant.
- (8) These stock appreciation rights are fully vested on date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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