ExOne Co Form 4 August 20, 2014

### FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*\*
Novel Century Ventures Ltd

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Issuer

below)

(Last) (First)

ExOne Co [XONE]
3. Date of Earliest Transaction

(Month/Day/Year)

08/18/2014

\_\_\_\_ Director \_\_\_X\_\_ 10% Owner \_\_\_ Officer (give title \_\_\_\_\_ Other (specify

(Check all applicable)

P.O. BOX 957,
OFFSHORE, INCORPORATIONS

(Street)

(State)

CENTRE, ROAD TOWN

(Middle)

(Zin)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_\_\_\_ Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

| TORTOLA, D8 000 | 0 | X | ( |
|-----------------|---|---|---|
|-----------------|---|---|---|

(City)

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-                            | Derivative S                                   | ecurit  | ies Acqui          | ired, Disposed of,   | or Beneficiall   | ly Owned  |
|--------------------------------------|---|---|--|--|---------|--------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities of Dispose (Instr. 3, 4)  Amount | d of (Ľ | <b>)</b> )         | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 08/18/2014                              |   | S                                      | 149,410  | D       | \$<br>27.18<br>(1) | 1,783,501 (2)  | D  |   |
| Common<br>Stock                      | 08/19/2014                              |   | S                                      | 99,600   | D       | \$<br>27.35<br>(3) | 1,683,901 (2)  | D  |   |
| Common<br>Stock                      | 08/19/2014                              |   | S                                      | 400  | D       | \$<br>28.22<br>(4) | 1,683,501 (2)  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: ExOne Co - Form 4

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5.                           | 6. Date Exer | cisable and | 7. Title | e and    | 8. Price of |
|-------------|-------------|---------------------|--------------------|-----------|------------------------------|--------------|-------------|----------|----------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transac   | ctionNumber                  | Expiration D | ate         | Amou     | nt of    | Derivative  |
| Security    | or Exercise |                     | any                | Code      | of                           | (Month/Day   | /Year)      | Under    | lying    | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8 | <ol><li>Derivative</li></ol> | /e           |             | Securi   | ties     | (Instr. 5)  |
|             | Derivative  |                     |                    |           | Securitie                    | S            |             | (Instr.  | 3 and 4) |             |
|             | Security    |                     |                    |           | Acquirec                     | l            |             |          |          |             |
|             |             |                     |                    |           | (A) or                       |              |             |          |          |             |
|             |             |                     |                    |           | Disposed                     | l            |             |          |          |             |
|             |             |                     |                    |           | of (D)                       |              |             |          |          |             |
|             |             |                     |                    |           | (Instr. 3,                   |              |             |          |          |             |
|             |             |                     |                    |           | 4, and 5)                    |              |             |          |          |             |
|             |             |                     |                    |           |                              |              |             |          | A        |             |
|             |             |                     |                    |           |                              |              |             |          | Amount   |             |
|             |             |                     |                    |           |                              | Date         | Expiration  |          | or       |             |
|             |             |                     |                    |           |                              | Exercisable  | Date        |          | Number   |             |
|             |             |                     |                    | C 1       | V (A) (D)                    |              |             |          | of       |             |
|             |             |                     |                    | Code      | V (A) (D)                    |              |             |          | Shares   |             |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |
|--|---------------|-----------|---------|-------|--|
| Reporting Owner Funite / Futuress  | Director      | 10% Owner | Officer | Other |  |
| Novel Century Ventures Ltd<br>P.O. BOX 957, OFFSHORE<br>INCORPORATIONS CENTRE, ROAD TOWN<br>TORTOLA, D8 00000    |               | X         |         |       |  |
| Mega Fame Holdings Ltd<br>P.O. BOX 957, OFFSHORE<br>INCORPORATIONS CENTRE, ROAD TOWN<br>TORTOLA, D8 00000        |               | X         |         |       |  |
| Tu Guihua<br>RM. 24-2, BLD 10, PROJECT B,<br>HUAGUOYUAN, NANMING DISTRICT<br>GUIYANG, GUIZHOU PROVINCE, F4 00000 |               | X         |         |       |  |

## **Signatures**

| Wang Feng, as attorney-in-fact for Tu Guihua, Director of Mega Fame Holdings Limited, the Director of Novel Century Ventures Limited |            |  |  |  |
|--|------------|--|--|--|
| **Signature of Reporting Person  | Date       |  |  |  |
| Wang Feng, as attorney-in-fact for Tu Guihua   |            |  |  |  |
| **Signature of Reporting Person  | Date       |  |  |  |
| Wang Feng, as attorney-in-fact for Tu Guihua   | 08/20/2014 |  |  |  |

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\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$27.00 to \$27.77 inclusive. The reporting person undertakes to provide to The ExOne Company (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4.
- (2) The shares are owned directly by Novel Century Ventures Limited, who is a member of a "group" with Tu Guihua and Mega Fame Holdings Limited for purposes of Section 13(d) of the Securities Exchange Act of 1934.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$27.11 to \$28.09 inclusive. The reporting person undertakes to provide to the Company, any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$28.19 to \$28.29 inclusive. The reporting person undertakes to provide to the Company, any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3

Date