QUAKER CHEMICAL CORP

Form 4

November 04, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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January 31, Expires:

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may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

BERQUIST JOSEPH A

2. Issuer Name and Ticker or Trading

Symbol

QUAKER CHEMICAL CORP

[KWR]

(First) (Middle) (Last)

3. Date of Earliest Transaction

(Month/Day/Year) 11/03/2014

ONE QUAKER PARK, 901 E. **HECTOR STREET**

(Street)

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Other (specify

_X__ Officer (give title below)

VP & Managing Director - NA

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

CONSHOHOCKEN, PA 19428-2380

(City)	(State)	(Zip) Tab	ole I - Non-	Derivativ	e Secu	ırities Acqui	ired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired (Acord Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price		(D)	5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/03/2014		M	997	A	\$ 37.37	13,442	D	
Common Stock	11/03/2014		S	997	D	\$ 82.2584 (1)	12,445	D	
Common Stock	11/03/2014		M	896	A	\$ 38.13	13,341	D	
Common Stock	11/03/2014		S	896	D	\$ 82.2584 (1)	12,445	D	

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Common Stock	11/03/2014	M	710	A	\$ 58.26	13,155	D	
Common Stock	11/03/2014	S	710	D	\$ 82.2584 (1)	12,445	D	
Common Stock						2,438 (2)	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. F Der Sec (Ins

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transactionof Code Derivative (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 37.37	11/03/2014		M	997	(3)	03/01/2018	Common Stock	997
Employee Stock Option (right to buy)	\$ 38.13	11/03/2014		M	896	<u>(4)</u>	03/06/2019	Common Stock	896
Employee Stock Option (right to buy)	\$ 58.26	11/03/2014		M	710	<u>(5)</u>	03/05/2020	Common Stock	710

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Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BERQUIST JOSEPH A ONE QUAKER PARK 901 E. HECTOR STREET CONSHOHOCKEN, PA 19428-2380

VP & Managing Director - NA

Signatures

Irene M. Kisleiko, Attorney-in-Fact for Joseph A. Berquist

11/04/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$81.90 to \$82.50, inclusive. The reporting person undertakes to provide to Quaker Chemical Corporation ("Quaker"), any security holder of
- Quaker, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1) to this Form 4.
- (2) Information based on reporting person's Plan Statement dated September 30, 2014.
- (3) The options for this grant vested in three annual installments: 998 shares on March 1, 2012; 998 shares on March 1, 2013; and 997 shares on March 1, 2014.
- (4) The options for this grant vest in three annual installments: 896 shares on March 6, 2013; 896 shares on March 6, 2014; and 895 shares on March 6, 2015.
- (5) The options for this grant vest in three equal annual installments: 710 shares on March 5, 2014; 710 shares on March 5, 2015; and 710 shares on March 5, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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