Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

PROVIDENT FINANCIAL SERVICES INC

Form 4 May 06, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Nesci James D

2. Issuer Name and Ticker or Trading Symbol

PROVIDENT FINANCIAL

SERVICES INC [PFS]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 05/02/2013

(Street)

239 WASHINGTON STREET

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Other (specify _X__ Officer (give title

below)

EVP and Chief Wealth Mgmt Ofc

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

JERSEY CITY, NJ 07302

(City)	(State)	(Zip) Tab	le I - Non-	Derivativo	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	05/02/2013		S	2,012	D	\$ 14.8	14,540	D	
Common Stock	05/02/2013		S	690	D	\$ 14.805	13,850	D	
Common Stock	05/02/2012		S	100	D	\$ 14.809	13,750	D	
Common Stock	05/02/2013		S	698	D	\$ 14.8093	13,052	D	
Common Stock	05/02/2013		S	324	D	\$ 14.81	12,728	D	

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Common Stock	05/02/2013	S	617	D	\$ 14.815	12,111	D	
Common Stock	05/02/2013	S	400	D	\$ 14.8187	11,711	D	
Common Stock	05/02/2013	S	1,100	D	\$ 14.82	10,611	D	
Common Stock	05/02/2013	S	300	D	\$ 14.83	10,311	D	
Common Stock	05/02/2013	S	100	D	\$ 14.835	10,211	D	
Common Stock	05/02/2013	S	300	D	\$ 14.84	9,911	D	
Common Stock	05/02/2013	S	1,452	D	\$ 14.8437	8,459	D	
Common Stock	05/02/2013	S	100	D	\$ 14.849	8,359	D	
Common Stock	05/02/2013	S	1,216	D	\$ 14.85	7,143	D	
Common Stock	05/02/2013	S	100	D	\$ 14.855	7,043	D	
Common Stock	05/02/2013	S	359	D	\$ 14.86	6,684	D	
Common Stock	05/02/2013	S	400	D	\$ 14.87	6,284	D	
Common Stock	05/02/2013	S	200	D	\$ 14.88	6,084	D	
Common Stock	05/02/2013	S	212	D	\$ 14.89	5,872	D	
Common Stock						7,670	I	By 401(k)
Common Stock						1,417	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivati Securitie Acquires (A) or Disposes of (D) (Instr. 3, 4, and 5)	Expiration Da (Month/Day/Y we es d			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	\$ 10.34					03/04/2013	03/04/2023	Common Stock	11,598 (2)	
Stock Options	\$ 10.27					05/07/2010	05/07/2019	Common Stock	15,000 (1)	

Reporting Owners

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

Nesci James D

239 WASHINGTON STREET EVP and Chief Wealth Mgmt Ofc

JERSEY CITY, NJ 07302

Signatures

/s/ John F. Kuntz, Pursuant to Power of 05/06/2013 Attorney

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options are fully vested.
- (2) Performance stock options granted on February 3, 2010 which vested on March 4, 2013 based on meeting certain performance criteria.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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