

Monaco Albert  
Form 3  
January 02, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Monaco Albert

(Last) (First) (Middle)

C/O ENBRIDGE INC., 200,  
425 1ST STREET SW

(Street)

CALGARY, A0A T2P 3L8

(City) (State) (Zip)

1. Title of Security  
(Instr. 4)

Common Shares

2. Date of Event Requiring Statement

(Month/Day/Year)

01/01/2018

3. Issuer Name and Ticker or Trading Symbol  
ENBRIDGE INC [ENB]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner

☒ Officer ☐ Other

(give title below) (specify below)

See Remarks

5. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned  
(Instr. 4)

482,726

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

D

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

# Edgar Filing: Monaco Albert - Form 3

|                                | Date<br>Exercisable | Expiration<br>Date |                  | Amount or<br>Number of<br>Shares |                          | or Indirect<br>(I)<br>(Instr. 5) |   |
|--------------------------------|---------------------|--------------------|------------------|----------------------------------|--------------------------|----------------------------------|---|
| Performance Options            | Â <u>(1)</u>        | 08/15/2020         | Common<br>Shares | 1,058,800                        | \$ 39.34<br><u>(12)</u>  | D                                | Â |
| Stock Option (right to<br>buy) | Â <u>(2)</u>        | 02/25/2019         | Common<br>Shares | 100,000                          | \$ 19.805<br><u>(12)</u> | D                                | Â |
| Stock Option (right to<br>buy) | Â <u>(2)</u>        | 02/16/2020         | Common<br>Shares | 80,000                           | \$ 23.295<br><u>(12)</u> | D                                | Â |
| Stock Option (right to<br>buy) | Â <u>(2)</u>        | 02/14/2021         | Common<br>Shares | 100,000                          | \$ 28.775<br><u>(12)</u> | D                                | Â |
| Stock Option (right to<br>buy) | Â <u>(2)</u>        | 03/02/2022         | Common<br>Shares | 147,500                          | \$ 38.34<br><u>(12)</u>  | D                                | Â |
| Stock Option (right to<br>buy) | Â <u>(2)</u>        | 02/27/2023         | Common<br>Shares | 229,000                          | \$ 44.83<br><u>(12)</u>  | D                                | Â |
| Stock Option (right to<br>buy) | Â <u>(3)</u>        | 03/13/2024         | Common<br>Shares | 199,000                          | \$ 48.81<br><u>(12)</u>  | D                                | Â |
| Stock Option (right to<br>buy) | Â <u>(4)</u>        | 03/02/2025         | Common<br>Shares | 196,000                          | \$ 59.08<br><u>(12)</u>  | D                                | Â |
| Stock Option (right to<br>buy) | Â <u>(5)</u>        | 03/01/2026         | Common<br>Shares | 365,000                          | \$ 44.06<br><u>(12)</u>  | D                                | Â |
| Stock Option (right to<br>buy) | Â <u>(6)</u>        | 02/28/2027         | Common<br>Shares | 584,000                          | \$ 55.84<br><u>(12)</u>  | D                                | Â |
| Performance Stock<br>Units     | Â <u>(7)</u>        | Â <u>(7)</u>       | Common<br>Shares | 56,610.8623<br><u>(8)</u>        | \$ <u>(7)</u>            | D                                | Â |
| Performance Stock<br>Units     | Â <u>(9)</u>        | Â <u>(9)</u>       | Common<br>Shares | 67,929.6666<br><u>(8)</u>        | \$ <u>(9)</u>            | D                                | Â |
| Performance Stock<br>Units     | Â <u>(10)</u>       | Â <u>(10)</u>      | Common<br>Shares | 36,819.1497<br><u>(8)</u>        | \$ <u>(10)</u>           | D                                | Â |
| Restricted Stock Units         | Â <u>(11)</u>       | Â <u>(11)</u>      | Common<br>Shares | 11,360.4385<br><u>(8)</u>        | \$ <u>(11)</u>           | D                                | Â |

## Reporting Owners

| Reporting Owner Name / Address                                                         | Relationships |           |               |       |
|----------------------------------------------------------------------------------------|---------------|-----------|---------------|-------|
|                                                                                        | Director      | 10% Owner | Officer       | Other |
| Monaco Albert<br>C/O ENBRIDGE INC.<br>200, 425 1ST STREET SW<br>CALGARY, Â A0Â T2P 3L8 | Â X           | Â         | Â See Remarks | Â     |

## Signatures

/s/ Vas Antoniou,  
attorney-in-fact

01/02/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were granted on August 15, 2012 and are fully vested.
- (2) The options are fully vested.
- (3) The options were granted on March 13, 2014 and vest in four equal annual installments.
- (4) The options were granted on March 2, 2015 and vest in four equal annual installments.
- (5) The options were granted on February 29, 2016 and vest in four equal annual installments.
- (6) The options were granted on February 28, 2017 and vest in four equal annual installments.
- The units were granted on January 1, 2015 and will vest on December 31, 2017. The units represent a contingent right to receive in cash the value of one Enbridge Common Share based on pre-determined performance factors, with a maximum payment on settlement of 200% of the grant.
- (7) Total includes the reinvestment of dividends.
- The units were granted on January 1, 2016 and will vest on December 31, 2018. The units represent a contingent right to receive in cash the value of one Enbridge Common Share based on pre-determined performance factors, with a maximum payment on settlement of 200% of the grant.
- (9) The units were granted on January 1, 2017 and will vest on December 31, 2019. The units represent a contingent right to receive in cash the value of one Enbridge Common Share based on pre-determined performance factors, with a maximum payment on settlement of 200% of the grant.
- (10) Each unit represents a contingent right to receive one Enbridge Common Share. The units were granted on January 1, 2017 and will vest on December 1, 2019, on the condition that the officer remain employed at Enbridge throughout that time.
- (11) The exercise price is in Canadian dollars.
- (12)

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### Remarks:

PresidentÂ &Â ChiefÂ ExecutiveÂ Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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