PHOTONIC PRODUCTS GROUP INC

Form 144

November 22, 2006

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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SEC USE ONLY

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

DOCUMENT SEQUENCE NO.

CUSIP NUMBER

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order

with a broker to execute sale or executing a sale directly with a market maker. NAME OF ISSUER (Please type or print) (b) IRS IDENT. NO. (c) 1 (a)

S.E.C. FILE NO. WORK LOCATION

PHOTONIC PRODUCTS GROUP, INC. 22-2003247 0-11668

ADDRESS OF STREET CITY STATE ZIP CODE (e) TELEPHONE NO. 1 (d) **ISSUER**

NORTHVALE, 181 LEGRAND AVE., NJ 07647

> AREA NUMBER

> > 10956

CODE

NY

767-1910 201 NAME OF RELATIONSHIP (d) ADDRESS STREET STATE ZIP CODE IRS IDENT. NO. (c) CITY 2(a)

PERSON FOR TO ISSUER

NEW CITY,

WHOSE 34 GREENWOOD ACCOUNT THE

OFFICER DR.,

SECURITIES ARE

TO BE SOLD

DANIEL

LEHRFELD

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3 (a)	(b)	SEC USE ONLY	(c)	(d)	(e)	(f)	(g) Name of
			Number of				Each
Title of the	Name and Address of Each Broker		Shares	Aggregate	Number of Share	sApproximate	Securities
Class of	Through Whom the Securities are to		or Other Units	Market	or Other Units	Date of Sale	Exchange
Securities	be Offered or Each Market Maker	Broker-Dealer	r To Be Sold	Value	Outstanding	(See instr. $3(f)$)	(See instr.
To Be Sold	who is Acquiring the Securities	File Number	(See instr. 3(c))	(See instr. $3(d)$)	(See instr. $3(e)$)	(MO. DAY YR.)	<i>3(g))</i>
COMMON	FIRST CLEARING, LLC		72,000	93,600	7,847,474	11-24-06	

10700 WHEAT FIRST

DRIVE

P.O. BOX 6600

GLEN ALLEN, VA. 23058-6600

INSTRUCTIONS:

- 1. (a) Name of issuer
 - (b) Issuer s I.R.S. Identification Number
 - (c) Issuer s S.E.C. file number, if any
 - (d) Issuer s address, including zip code
 - (e) Issuer s telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
 - (b) Such person s I.R.S. identification number, if such person is an entity
 - (c) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
- (d) Such person s address, including zip code

- 3.(a) Title of the class of securities to be sold
- (b) Name and address of each broker through whom the securities are intended to be sold
- (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
- (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
- (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
- (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (01-04)

TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired	Amount of //Securities Acquire	Date of dPayment	Nature of Payment
COMMON	9/20/00	EXERCISE OF STOCK OPTION GRANT	PHOTONIC PRODUCTS GROUP, INC.	28,000	9/20/00	CASH/CHECK
	5/00-5/06	RECEIPT OF STOCK DIVIDENDS ON CLASS B PREFERRED	PHOTONIC PRODUCTS GROUP, INC.	24,000	4/20/01	STOCK DIVIDENDS (ANNUAL) PAID TO ME
	APPROX 11/30/06	SIMULTANEOUS EXERCISE AND SALE OF STOCK OPTION GRANT	PHOTONIC PRODUCTS GROUP, INC.	30,000	11/30/06	CASH/CHECK

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Amount of Title of Securities Sold Name and Address of Seller Date of Sale Securities Sold Gross Proceeds

REMARKS:

INSTRUCTIONS:

See the definition of person in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) not been publicly disclosed. of Rule 144 to be aggregated with sales for the account of the

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not account the securities are to be sold but also as to all other persons know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has

person filing this notice.

November 21, 2006

Daniel Lehrfeld

DATE OF NOTICE

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

(SIGNATURE)

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (01-04)

RULE 144 CUSTOMER REPRESENTATION LETTER

First Clearing, LLC 10700 Wheat First Drive	Date: 11/21/2006 (mm/dd/yyyy)
Post Office Box 6600	
Glen Allen, Virginia 23058-6600	
Ladies and Gentlemen:	
In connection with my request that you attempt to sell for my account pursuant to Rule 144 of the the Securities Act of 1933, 52,800 shares of the common stock of Photonic Products Group, Inc. (s	
1. If the securities are considered restricted under Rule 144, at least or securities from the Company. If I purchased the securities, they have been fully	• • • • • • • • • • • • • • • • • • • •
2. I have provided you with a Form 144 Notice of Proposed Sale of Secu electronically by me with the SEC before you place any order to sell. All statements on the form are true and correct in all respects.	•
3. I will not make any payment except to you in connection with the execute receive from me normal brokers commission. (1% of proceeds)	cution of an order to sell, and you will
4. I have not directly or indirectly pursued orders to buy in connection wiso.	ith the proposed sale, and I will not do
5. I do not intend to sell additional securities of the same class through ar as part of a group.	ny other means, either individually or
6. During the past three months, the amount of securities of the same class Rule 144, whose sales are required to be taken into consideration was zero. This either (a) or (b) below:	· -

a.	One percent (1%) of the total outstanding class of shares of the Cor	npany s stock.		
b. included)	The average weekly trading volume of the Company s stock on all during the four weeks preceding the filing of my Form 144 notice v			
7.	I believe that the Company has complied with the reporting require	ments of Rule 144.		
8. proceeds	I understand that the transfer agent s delay in transferring the secur of the sale.	rities may delay the payment of the		
9. I consent to First Clearing, LLC communicating and conferring with the Company, its attorney, and its transfer agent in connection with my order, and I understand that the proceeds of sale may not be paid until the securities have been transferred into the name of First Clearing, LLC.				
I understand that in effecting the sale of securities in this manner, you are relying on the truth and accuracy of the information in this letter and in my Form 144 notice. I agree to indemnify and hold harmless you and your affiliates, including your respective officers, directors, employees, agents and assigns, against any claims (including legal fees) incurred by you or your affiliates arising out of the sale and transfer of the securities.				
(APPROV	/s/ William Brucker ED) PHOTONIC PRODUCTS GROUP, INSIDER TRADING COMPLIANCE OFFICER	/s/ Daniel Lehrfeld Signature CEO/PRESIDENT PHOTONIC PRODUCTS GROUP, INC.		
		PCKC 6901-0107 Account Number		
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