

CONVERGYS CORP
Form 4
January 08, 2003

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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935
or Section 30(h) of the Investment Company Act of 1940**

- ☐ Check this box if no longer
subject to Section 16.
Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

1. Name and Address of Reporting Person* Cruz, Thomas A. <i>(Last) (First) (Middle)</i> 201 East Fourth Street PO Box 1638 <i>(Street)</i>	2. Issuer Name and Ticker or Trading Symbol Convergys Corporation CVG 	3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary) 		
Cincinnati Ohio 45201 <i>(City) (State) (Zip)</i>	4. Statement for Month/Day/Year 1/6/2003 	5. If Amendment, Date of Original (Month/Day/Year) 		
<table border="0" style="width: 100%;"> <tr> <td style="width: 33%; vertical-align: top;"> 6. Relationship of Reporting Person(s) to Issuer (Check All Applicable) ☐ Director ☒ Officer <i>(give title below)</i> ☐ Other <i>(specify below)</i> ☐ 10% Owner Vice President Human Resources and Administration </td> <td style="width: 33%; vertical-align: top;"> 7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Form Filed by One Reporting Person ☐ Form Filed by More than One Reporting Person </td> </tr> </table>			6. Relationship of Reporting Person(s) to Issuer (Check All Applicable) ☐ Director ☒ Officer <i>(give title below)</i> ☐ Other <i>(specify below)</i> ☐ 10% Owner Vice President Human Resources and Administration	7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Form Filed by One Reporting Person ☐ Form Filed by More than One Reporting Person
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* instruction 4(b)(v).

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D) Price		
Common Shares					0	D	
Common Shares					480.746	I	By Trustee of ESPP
Common Shares					100	I	By Wife*
Common Shares					200	I	By Child**
Common Shares					2,307.930	I	By Trustee of RSP***

Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

[illegible]

Table II	Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)	Continued
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[illegible]

Explanation of Responses:

*The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

**The reporting person is a custodian for his minor children for these securities.

***Common shares balance held in Retirement Savings Plan.

(1) Option shares granted under the Convergys 1998 Long Term Incentive Plan, which is a Rule 16b-3 Plan.

(2) Right to buy.

(3) Acquired on various dates between January 1 and January 6, 2003 pursuant to the Convergys Corporation Executive Deferred Compensation Plan, at prices ranging from \$14.97 and \$16.48 per share. Phantom shares are payable in cash or common shares of the Company upon termination of employment.

/s/ Thomas A. Cruz

1/8/2003

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**Signature of Reporting
Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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