

Edgar Filing: GDL FUND - Form NSAR-A

GDL FUND  
Form NSAR-A  
August 25, 2017

PAGE 1  
000 A000000 06/30/2017  
000 C000000 0001378701  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE GDL FUND  
001 B000000 811-21969  
001 C000000 9149215100  
002 A000000 ONE CORPORATE CENTER  
002 B000000 RYE  
002 C000000 NY  
002 D010000 10580  
002 D020000 1422  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 GABELLI FUNDS, LLC  
008 B000001 A  
008 C000001 801-37706  
008 D010001 RYE  
008 D020001 NY  
008 D030001 10580  
008 D040001 1422  
010 A000001 GABELLI FUNDS, LLC  
010 B000001 801-37706  
010 C010001 RYE  
010 C020001 NY  
010 C030001 10580  
010 C040001 1422  
010 A000002 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000002 84-01761  
010 C010002 WILMINGTON  
010 C020002 DE  
010 C030002 19809  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 ERNST & YOUNG LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10036  
PAGE 2  
014 A000001 G.RESEARCH, LLC  
014 B000001 8-21373  
014 A000002 G.DISTRIBUTORS, LLC  
014 B000002 8-68697  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C

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015 C010001 EVERETT  
015 C020001 MA  
015 C030001 02149  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 39  
019 C000000 GABELLIGRP  
020 A000001 G.RESEARCH, LLC  
020 B000001 13-2885006  
020 C000001 133  
020 A000002 BGC FINANCIAL L.P.  
020 B000002 30-0563735  
020 C000002 14  
020 A000003 WEEDEN & CO. LP  
020 B000003 13-3364318  
020 C000003 12  
020 A000004 FBN SECURITIES, INC.  
020 B000004 13-3179514  
020 C000004 9  
020 A000005 NOMURA SECURITIES INTERNATIONAL, INC.  
020 B000005 13-2642206  
020 C000005 4  
020 A000006 CONVERGEX EXECUTION SOLUTIONS LLC  
020 B000006 13-3989198  
020 C000006 4  
020 A000007 GMP SECURITIES LLC  
020 B000007 13-4036836  
020 C000007 3  
020 A000008 BMO NESBITT BURNS SECURITIES LTD.  
020 B000008 10-3854261  
020 C000008 3  
020 A000009 LADENBURG THALMANN CO., INC.  
020 B000009 13-2700032  
020 C000009 3  
020 A000010 MKM PARTNERS LLC  
020 B000010 13-4172253  
020 C000010 2  
021 000000 196  
022 A000001 BARCLAYS CAPITAL, INC.  
022 B000001 06-1031656  
022 C000001 86051  
022 D000001 148282  
022 A000002 BMO CAPITAL MARKETS CORP.  
022 B000002 13-3459853  
PAGE 3  
022 C000002 85362  
022 D000002 36080  
022 A000003 THE EMPIRE DISTRICT ELECTRIC CO.  
022 C000003 0  
022 D000003 100432  
022 A000004 NOMURA SECURITIES INTERNATIONAL, INC.  
022 B000004 13-2642206  
022 C000004 53535  
022 D000004 43151  
022 A000005 DAIWA SECURITIES AMERICA, INC.  
022 B000005 13-5680329  
022 C000005 81669  
022 D000005 0  
022 A000006 CLARCOR, INC.  
022 B000006 36-0922490  
022 C000006 0

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|     |         |                                       |
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| 022 | D000006 | 62748                                 |
| 022 | A000007 | VALSPAR CORP.                         |
| 022 | B000007 | 36-2443580                            |
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| 022 | D000007 | 45200                                 |
| 022 | A000008 | COLUMBIA PIPELINE GROUP INC.          |
| 022 | B000008 | 47-1982552                            |
| 022 | C000008 | 0                                     |
| 022 | D000008 | 41563                                 |
| 022 | A000009 | VASCULAR SOLUTIONS INC.               |
| 022 | C000009 | 0                                     |
| 022 | D000009 | 40826                                 |
| 022 | A000010 | HARMAN INTERNATIONAL INDUSTRIES, INC. |
| 022 | B000010 | 11-2534306                            |
| 022 | C000010 | 0                                     |
| 022 | D000010 | 33600                                 |
| 023 | C000000 | 306617                                |
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| 028 | B010000 | 0                                     |
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| 028 | G040000 | 0                                     |
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| 030 | B000000 | 0.00                                  |
| 030 | C000000 | 0.00                                  |

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| 031 | B000000 | 0     |
| 032 | 000000  | 0     |
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| 042 | E000000 | 0     |
| 042 | F000000 | 0     |
| 042 | G000000 | 0     |
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| 046 | 000000  | N     |
| 047 | 000000  | Y     |
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| 048 | B020000 | 0.000 |
|     | PAGE    | 5     |
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| 048 | E010000 | 0     |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0     |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0     |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0     |
| 048 | H020000 | 0.000 |
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| 048 | I020000 | 0.000 |
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| 054 | B000000 | Y     |
| 054 | C000000 | Y     |
| 054 | D000000 | N     |
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| 054 | F000000 | N     |
| 054 | G000000 | N     |
| 054 | H000000 | N     |
| 054 | I000000 | N     |
| 054 | J000000 | Y     |
| 054 | K000000 | N     |
| 054 | L000000 | N     |
| 054 | M000000 | Y     |

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054 N000000 N  
054 O000000 N  
055 A000000 Y  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
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PAGE 6  
062 E000000 0.0  
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063 B000000 0.0  
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068 B000000 N  
069 000000 N  
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070 E020000 N  
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070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 Y  
070 I020000 N  
070 J010000 Y

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070 J020000 N  
 070 K010000 Y  
 070 K020000 Y  
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 070 L020000 Y  
     PAGE 7  
 070 M010000 Y  
 070 M020000 Y  
 070 N010000 Y  
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 073 A020000 0.0000  
     PAGE 8  
 073 B000000 0.0000  
 073 C000000 0.3200  
 074 A000000 1  
 074 B000000 0

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| 074 | C000000 | 147718 |
| 074 | D000000 | 7      |
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| 074 | K000000 | 0      |
| 074 | L000000 | 3510   |
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| 074 | N000000 | 352465 |
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| 074 | T000000 | 210405 |
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| 074 | Y000000 | 47250  |
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| 075 | B000000 | 344906 |
| 076 | 000000  | 10.26  |
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| 077 | C000000 | Y      |
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| 077 | N000000 | N      |
| 077 | O000000 | N      |
| 077 | P000000 | N      |
|     | PAGE    | 9      |
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| 077 | Q020000 | N      |
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| 084 | B000000 | 0      |
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| 086 | A020000 | 0      |
| 086 | B010000 | 316    |
| 086 | B020000 | 3149   |
| 086 | C010000 | 0      |

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|           |                     |                                      |
|-----------|---------------------|--------------------------------------|
| 086       | C020000             | 0                                    |
| 086       | D010000             | 0                                    |
| 086       | D020000             | 0                                    |
| 086       | E010000             | 0                                    |
| 086       | E020000             | 0                                    |
| 086       | F010000             | 0                                    |
| 086       | F020000             | 0                                    |
| 087       | A010000             | COMMON STOCK                         |
| 087       | A020000             | 361570104                            |
| 087       | A030000             | GDL                                  |
| 087       | B010000             | SERIES B CUMULATIVE PREFERRED SHARES |
| 087       | B020000             | 361570302                            |
| 087       | B030000             | GDL PR B                             |
| 088       | A000000             | N                                    |
| 088       | B000000             | N                                    |
| 088       | C000000             | N                                    |
| 088       | D000000             | Y                                    |
| SIGNATURE | SCOTT R. FUCHS      |                                      |
| TITLE     | ASSISTANT TREASURER |                                      |