

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-A

FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC

Form NSAR-A

July 28, 2016

PAGE 1  
000 A000000 05/31/2016  
000 C000000 868578  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FLAHERTY & CRUMRINE PFD INC FUND, INC.  
001 B000000 811-06179  
001 C000000 6267957300  
002 A000000 301 E. Colorado Boulevard, Suite 720  
002 B000000 Pasadena  
002 C000000 CA  
002 D010000 91101  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FLAHERTY & CRUMRINE INCORPORATED  
008 B000001 A  
008 C000001 801-19384  
008 D010001 PASADENA  
008 D020001 CA  
008 D030001 91101  
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000001 84-1761  
010 C010001 WESTBOROUGH  
010 C020001 MA  
010 C030001 01581  
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
012 B000001 84-1761  
012 C010001 WESTBOROUGH  
012 C020001 MA  
012 C030001 01581  
013 A000001 KPMG LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02110  
015 A000001 THE BANK OF NEW YORK MELLON CORP.  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286  
018 000000 Y  
019 A000000 N  
019 B000000 0  
PAGE 2  
021 000000 0  
022 A000001 WELLS FARGO SECURITIES  
022 B000001 56-2326000  
022 C000001 4268  
022 D000001 283  
022 A000002 CABRERA CAPITAL MARKETS

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022 B000002 01-0892965  
 022 C000002 911  
 022 D000002 3181  
 022 A000003 NOMURA SECURITIES INC  
 022 B000003 13-2642206  
 022 C000003 1196  
 022 D000003 1752  
 022 A000004 MORGAN STANLEY  
 022 B000004 13-2655998  
 022 C000004 1657  
 022 D000004 256  
 022 A000005 JP Morgan Chase & Company  
 022 B000005 13-3112953  
 022 C000005 1262  
 022 D000005 0  
 022 A000006 BNP PARIBAS  
 022 B000006 13-3235334  
 022 C000006 1000  
 022 D000006 0  
 022 A000007 STIFEL, NICOLAUS & CO. INC.  
 022 B000007 43-0538770  
 022 C000007 590  
 022 D000007 0  
 022 A000008 HSBC SECURITIES USA, INC.  
 022 B000008 13-2650272  
 022 C000008 555  
 022 D000008 0  
 022 A000009 MERRILL LYNCH  
 022 B000009 13-2511846  
 022 C000009 400  
 022 D000009 0  
 022 A000010 HAPOALIM SECURITIES  
 022 B000010 00-0000000  
 022 C000010 80  
 022 D000010 266  
 023 C000000 12118  
 023 D000000 5833  
 024 000000 Y  
 025 A000001 JPMORGAN CHASE & COMPANY  
 025 B000001 13-4994650  
 025 C000001 E  
 025 D000001 11052  
 025 A000002 WELLS FARGO & COMPANY  
 025 B000002 56-2326000  
 025 C000002 E  
 PAGE 3  
 025 D000002 9484  
 025 A000003 MORGAN STANLEY & CO., INC.  
 025 B000003 13-2655998  
 025 C000003 E  
 025 D000003 6775  
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 025 B000004 13-3235334  
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 025 B000005 56-0906609  
 025 C000005 E  
 025 D000005 2463  
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 026 C000000 N

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| 046 | 000000  | N      |   |
| 047 | 000000  | Y      |   |
| 048 | 000000  | 0.000  |   |
| 048 | A010000 | 100000 |   |

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| 048 | D020000 | 0.000  |   |
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| 048 | G020000 | 0.000  |   |
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| 048 | H020000 | 0.000  |   |
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| 052 | 000000  | N      |   |
|     | PAGE    | 5      |   |
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| 054 | E000000 | N      |   |
| 054 | F000000 | N      |   |
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| 054 | H000000 | Y      |   |
| 054 | I000000 | N      |   |
| 054 | J000000 | Y      |   |
| 054 | K000000 | N      |   |
| 054 | L000000 | N      |   |
| 054 | M000000 | Y      |   |
| 054 | N000000 | N      |   |
| 054 | O000000 | Y      |   |
| 055 | A000000 | N      |   |
| 055 | B000000 | Y      |   |
| 056 | 000000  | Y      |   |
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| 059 | 000000  | Y      |   |
| 060 | A000000 | Y      |   |
| 060 | B000000 | Y      |   |
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| 062 | C000000 | 0.0    |   |
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| 062 | F000000 | 0.0    |   |
| 062 | G000000 | 0.0    |   |
| 062 | H000000 | 0.0    |   |
| 062 | I000000 | 0.0    |   |
| 062 | J000000 | 0.0    |   |
| 062 | K000000 | 0.0    |   |

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|     |         |        |
|-----|---------|--------|
| 062 | L000000 | 0.0    |
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| 066 | D000000 | N      |
| 066 | E000000 | N      |
| 066 | F000000 | Y      |
|     | PAGE    | 6      |
| 066 | G000000 | N      |
| 067 | 000000  | N      |
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| 070 | B010000 | Y      |
| 070 | B020000 | N      |
| 070 | C010000 | Y      |
| 070 | C020000 | N      |
| 070 | D010000 | Y      |
| 070 | D020000 | N      |
| 070 | E010000 | Y      |
| 070 | E020000 | N      |
| 070 | F010000 | Y      |
| 070 | F020000 | N      |
| 070 | G010000 | Y      |
| 070 | G020000 | N      |
| 070 | H010000 | Y      |
| 070 | H020000 | N      |
| 070 | I010000 | N      |
| 070 | I020000 | N      |
| 070 | J010000 | Y      |
| 070 | J020000 | Y      |
| 070 | K010000 | Y      |
| 070 | K020000 | Y      |
| 070 | L010000 | Y      |
| 070 | L020000 | Y      |
| 070 | M010000 | Y      |
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| 070 | N020000 | N      |
| 070 | O010000 | Y      |
| 070 | O020000 | Y      |
| 070 | P010000 | N      |
| 070 | P020000 | N      |
| 070 | Q010000 | N      |
| 070 | Q020000 | N      |
| 070 | R010000 | Y      |
| 070 | R020000 | N      |
| 071 | A000000 | 12844  |
| 071 | B000000 | 10826  |
| 071 | C000000 | 219608 |
| 071 | D000000 | 5      |
| 072 | A000000 | 6      |

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|             |      |
|-------------|------|
| 072 B000000 | 3739 |
| 072 C000000 | 4049 |
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| 072 E000000 | 13   |
| 072 F000000 | 619  |

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|             |        |
|-------------|--------|
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| 072 N000000 | 0      |
| 072 O000000 | 0      |
| 072 P000000 | 508    |
| 072 Q000000 | 0      |
| 072 R000000 | 28     |
| 072 S000000 | 28     |
| 072 T000000 | 0      |
| 072 U000000 | 0      |
| 072 V000000 | 0      |
| 072 W000000 | 124    |
| 072 X000000 | 1518   |
| 072 Y000000 | 0      |
| 072 Z000000 | 6283   |
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| 072CC020000 | 0      |
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| 072DD020000 | 0      |
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| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
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| 074 B000000 | 0      |
| 074 C000000 | 0      |
| 074 D000000 | 12042  |
| 074 E000000 | 210515 |
| 074 F000000 | 1455   |
| 074 G000000 | 0      |
| 074 H000000 | 0      |
| 074 I000000 | 901    |
| 074 J000000 | 0      |
| 074 K000000 | 0      |
| 074 L000000 | 2501   |
| 074 M000000 | 98     |
| 074 N000000 | 227512 |
| 074 O000000 | 555    |
| 074 P000000 | 106    |
| 074 Q000000 | 77400  |
| 074 R010000 | 0      |
| 074 R020000 | 0      |
| 074 R030000 | 0      |

PAGE 8

|             |        |
|-------------|--------|
| 074 R040000 | 212    |
| 074 S000000 | 0      |
| 074 T000000 | 149239 |
| 074 U010000 | 11080  |

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|           |                   |              |
|-----------|-------------------|--------------|
| 074       | U020000           | 0            |
| 074       | V010000           | 13.47        |
| 074       | V020000           | 0.00         |
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| 077       | Q020000           | N            |
| 077       | Q030000           | N            |
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| 086       | B020000           | 0            |
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| 086       | F020000           | 0            |
| 087       | A010000           | COMMON STOCK |
|           | PAGE              | 9            |
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| 087       | A030000           | PFD          |
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| 088       | C000000           | N            |
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| SIGNATURE | BRADFORD S. STONE |              |
| TITLE     | CHIEF FINANCIAL   |              |