

GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC

Form NSAR-B

February 28, 2014

PAGE 1  
000 B000000 12/31/2013  
000 C000000 0000845611  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE GABELLI CONVERTIBLE & INCOME SEC FUND INC  
001 B000000 811-05715  
001 C000000 9149215100  
002 A000000 ONE CORPORATE CENTER  
002 B000000 RYE  
002 C000000 NY  
002 D010000 10580  
002 D020000 1422  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 GABELLI FUNDS, LLC  
008 B000001 A  
008 C000001 801-37706  
008 D010001 RYE  
008 D020001 NY  
008 D030001 10580  
008 D040001 1422  
010 A000001 GABELLI FUNDS, LLC  
010 B000001 801-37706  
010 C010001 RYE  
010 C020001 NY  
010 C030001 10580  
010 C040001 1422  
010 A000002 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000002 84-01761  
010 C010002 WILMINGTON  
010 C020002 DE  
010 C030002 19809  
012 A000001 COMPUTERSHARE TRUST COMPANY, N.A.  
012 B000001 84-05925  
012 C010001 CANTON  
012 C020001 MA  
012 C030001 02021  
012 C040001 1011  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK  
013 B020001 NY  
PAGE 2  
013 B030001 10017  
014 A000001 G.RESEARCH, INC.  
014 B000001 8-21373  
014 A000002 G.DISTRIBUTORS, LLC  
014 B000002 8-68697  
015 A000001 STATE STREET BANK AND TRUST COMPANY

Edgar Filing: GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC - Form NSAR-B

015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02110  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 33  
019 C000000 GABELLIGRP  
020 A000001 G.RESEARCH, INC.  
020 B000001 13-2885006  
020 C000001 14  
020 A000002 MKM PARTNERS LLC  
020 B000002 13-4171600  
020 C000002 2  
020 A000003 GUGGENHEIM INVESTOR SERVICES, LLC  
020 B000003 30-0003311  
020 C000003 2  
020 A000004 STIFEL, NICOLAUS & CO., INC.  
020 B000004 43-0538770  
020 C000004 2  
020 A000005 WEEDEN & CO. LP  
020 B000005 13-3364318  
020 C000005 1  
021 000000 22  
022 A000001 BARCLAYS CAPITAL, INC.  
022 B000001 06-1031656  
022 C000001 40326  
022 D000001 22949  
022 A000002 DAIWA CAPITAL MARKETS AMERICA, INC.  
022 B000002 13-5680329  
022 C000002 11496  
022 D000002 0  
022 A000003 JEFFERIES & CO., INC.  
022 B000003 95-2622900  
022 C000003 1200  
022 D000003 0  
022 A000004 WACHOVIA CAPITAL MARKETS LLC  
022 B000004 56-2326000  
022 C000004 800  
022 D000004 0  
022 A000005 J.P. MORGAN SECURITIES, INC.  
022 B000005 13-3379014  
022 C000005 697  
022 D000005 0  
PAGE 3  
022 A000006 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.  
022 B000006 13-5674085  
022 C000006 415  
022 D000006 0  
022 A000007 RBC CAPITAL MARKETS LLC  
022 B000007 13-5033225  
022 C000007 196  
022 D000007 0  
022 A000008 BGC FINANCIAL L.P.  
022 B000008 30-0563735  
022 C000008 0  
022 D000008 120  
022 A000009 NOMURA SECURITIES INTERNATIONAL, INC.  
022 B000009 13-2642206  
022 C000009 96  
022 D000009 0

Edgar Filing: GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC - Form NSAR-B

022 A000010 DEUTSCHE BANK SECURITIES, INC.  
 022 B000010 13-2730828  
 022 C000010 96  
 022 D000010 0  
 023 C000000 55322  
 023 D000000 23134  
 024 000000 Y  
 025 A000001 ROYAL BANK OF CANADA  
 025 C000001 E  
 025 D000001 672  
 025 A000002 JPMORGAN CHASE & CO.  
 025 B000002 13-2624428  
 025 C000002 E  
 025 D000002 585  
 026 A000000 N  
 026 B000000 Y  
 026 C000000 N  
 026 D000000 Y  
 026 E000000 N  
 026 F000000 Y  
 026 G010000 N  
 026 G020000 N  
 026 H000000 N  
 027 000000 N  
 028 A010000 0  
 028 A020000 0  
 028 A030000 0  
 028 A040000 0  
 028 B010000 0  
 028 B020000 0  
 028 B030000 0  
 028 B040000 0  
 028 C010000 0  
 028 C020000 0  
 028 C030000 0  
 PAGE 4  
 028 C040000 0  
 028 D010000 0  
 028 D020000 0  
 028 D030000 0  
 028 D040000 0  
 028 E010000 0  
 028 E020000 0  
 028 E030000 0  
 028 E040000 0  
 028 F010000 0  
 028 F020000 0  
 028 F030000 0  
 028 F040000 0  
 028 G010000 0  
 028 G020000 0  
 028 G030000 0  
 028 G040000 0  
 028 H000000 0  
 030 A000000 0  
 030 B000000 0.00  
 030 C000000 0.00  
 031 A000000 0  
 031 B000000 0  
 032 000000 0  
 033 000000 0  
 035 000000 0

Edgar Filing: GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC - Form NSAR-B

|     |         |       |
|-----|---------|-------|
| 036 | B000000 | 0     |
| 038 | 000000  | 0     |
| 042 | A000000 | 0     |
| 042 | B000000 | 0     |
| 042 | C000000 | 0     |
| 042 | D000000 | 0     |
| 042 | E000000 | 0     |
| 042 | F000000 | 0     |
| 042 | G000000 | 0     |
| 042 | H000000 | 0     |
| 043 | 000000  | 0     |
| 044 | 000000  | 0     |
| 045 | 000000  | Y     |
| 046 | 000000  | N     |
| 047 | 000000  | Y     |
| 048 | 000000  | 1.000 |
| 048 | A010000 | 0     |
| 048 | A020000 | 0.000 |
| 048 | B010000 | 0     |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0     |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0     |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0     |
|     | PAGE    | 5     |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0     |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0     |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0     |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0     |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0     |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0     |
| 048 | K020000 | 0.000 |
| 049 | 000000  | N     |
| 050 | 000000  | N     |
| 051 | 000000  | Y     |
| 052 | 000000  | N     |
| 053 | A000000 | N     |
| 054 | A000000 | Y     |
| 054 | B000000 | Y     |
| 054 | C000000 | Y     |
| 054 | D000000 | N     |
| 054 | E000000 | N     |
| 054 | F000000 | N     |
| 054 | G000000 | N     |
| 054 | H000000 | Y     |
| 054 | I000000 | N     |
| 054 | J000000 | Y     |
| 054 | K000000 | N     |
| 054 | L000000 | N     |
| 054 | M000000 | Y     |
| 054 | N000000 | N     |
| 054 | O000000 | N     |
| 055 | A000000 | N     |
| 055 | B000000 | N     |
| 056 | 000000  | Y     |

Edgar Filing: GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC - Form NSAR-B

057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
PAGE 6  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 N  
066 G000000 Y  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 N  
070 I020000 N  
070 J010000 Y  
070 J020000 Y  
070 K010000 Y  
070 K020000 Y  
070 L010000 Y  
070 L020000 Y

Edgar Filing: GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC - Form NSAR-B

|       |         |    |        |
|-------|---------|----|--------|
| 070   | M010000 | Y  |        |
| 070   | M020000 | Y  |        |
| 070   | N010000 | Y  |        |
| 070   | N020000 | N  |        |
| 070   | O010000 | N  |        |
|       | PAGE    | 7  |        |
| 070   | O020000 | N  |        |
| 070   | P010000 | Y  |        |
| 070   | P020000 | N  |        |
| 070   | Q010000 | N  |        |
| 070   | Q020000 | N  |        |
| 070   | R010000 | Y  |        |
| 070   | R020000 | Y  |        |
| 071   | A000000 |    | 30760  |
| 071   | B000000 |    | 37807  |
| 071   | C000000 |    | 88339  |
| 071   | D000000 |    | 35     |
| 072   | A000000 | 12 |        |
| 072   | B000000 |    | 857    |
| 072   | C000000 |    | 1883   |
| 072   | D000000 |    | 0      |
| 072   | E000000 |    | 0      |
| 072   | F000000 |    | 1090   |
| 072   | G000000 |    | 0      |
| 072   | H000000 |    | 94     |
| 072   | I000000 |    | 41     |
| 072   | J000000 |    | 37     |
| 072   | K000000 |    | 0      |
| 072   | L000000 |    | 59     |
| 072   | M000000 |    | 52     |
| 072   | N000000 |    | 0      |
| 072   | O000000 |    | 0      |
| 072   | P000000 |    | 0      |
| 072   | Q000000 |    | 45     |
| 072   | R000000 |    | 43     |
| 072   | S000000 |    | 2      |
| 072   | T000000 |    | 0      |
| 072   | U000000 |    | 0      |
| 072   | V000000 |    | 0      |
| 072   | W000000 |    | 65     |
| 072   | X000000 |    | 1528   |
| 072   | Y000000 |    | 0      |
| 072   | Z000000 |    | 1212   |
| 072AA | 000000  |    | 5952   |
| 072BB | 000000  |    | 0      |
| 072CC | 010000  |    | 12790  |
| 072CC | 020000  |    | 0      |
| 072DD | 010000  |    | 1195   |
| 072DD | 020000  |    | 0      |
| 072EE | 000000  |    | 4293   |
| 073   | A010000 |    | 0.0900 |
| 073   | A020000 |    | 0.0000 |
| 073   | B000000 |    | 0.3100 |
| 073   | C000000 |    | 0.0800 |
| 074   | A000000 |    | 27     |
| 074   | B000000 |    | 0      |
| 074   | C000000 |    | 18509  |
|       | PAGE    | 8  |        |
| 074   | D000000 |    | 15123  |
| 074   | E000000 |    | 2332   |
| 074   | F000000 |    | 72278  |
| 074   | G000000 |    | 0      |

Edgar Filing: GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC - Form NSAR-B

|     |         |   |
|-----|---------|---|
| 074 | H000000 | 0   |
| 074 | I000000 | 1   |
| 074 | J000000 | 7254  |
| 074 | K000000 | 0   |
| 074 | L000000 | 2624  |
| 074 | M000000 | 137   |
| 074 | N000000 | 118285                                      |
| 074 | O000000 | 4040  |
| 074 | P000000 | 346   |
| 074 | Q000000 | 0   |
| 074 | R010000 | 0   |
| 074 | R020000 | 0   |
| 074 | R030000 | 0   |
| 074 | R040000 | 104   |
| 074 | S000000 | 24139                                       |
| 074 | T000000 | 89656                                       |
| 074 | U010000 | 13823                                       |
| 074 | U020000 | 0   |
| 074 | V010000 | 6.49  |
| 074 | V020000 | 0.00  |
| 074 | W000000 | 0.0000                                      |
| 074 | X000000 | 7009  |
| 074 | Y000000 | 1430  |
| 075 | A000000 | 0   |
| 075 | B000000 | 108586                                      |
| 076 | 000000  | 6.16  |
| 077 | A000000 | Y   |
| 077 | B000000 | Y   |
| 077 | C000000 | N   |
| 077 | D000000 | N   |
| 077 | E000000 | N   |
| 077 | F000000 | N   |
| 077 | G000000 | N   |
| 077 | H000000 | N   |
| 077 | I000000 | N   |
| 077 | J000000 | N   |
| 077 | K000000 | N   |
| 077 | L000000 | N   |
| 077 | M000000 | N   |
| 077 | N000000 | N   |
| 077 | O000000 | N   |
| 077 | P000000 | N   |
| 077 | Q010000 | N   |
| 077 | Q020000 | N   |
| 077 | Q030000 | N   |
| 078 | 000000  | N   |
| 080 | A000000 | TRAVELER-ST. PAUL FIRE AND MARINE INSURANCE |
|     | PAGE    | 9   |
| 080 | B000000 | GREAT AMERICAN INSURANCE COMPANY            |
| 080 | C000000 | 28675                                       |
| 081 | A000000 | Y   |
| 081 | B000000 | 42  |
| 082 | A000000 | N   |
| 082 | B000000 | 0   |
| 083 | A000000 | N   |
| 083 | B000000 | 0   |
| 084 | A000000 | N   |
| 084 | B000000 | 0   |
| 085 | A000000 | Y   |
| 085 | B000000 | N   |
| 086 | A010000 | 135   |
| 086 | A020000 | 820   |

Edgar Filing: GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC - Form NSAR-B

|           |                     |   |
|-----------|---------------------|---|
| 086       | B010000             | 0   |
| 086       | B020000             | 0   |
| 086       | C010000             | 0   |
| 086       | C020000             | 0   |
| 086       | D010000             | 0   |
| 086       | D020000             | 0   |
| 086       | E010000             | 0   |
| 086       | E020000             | 0   |
| 086       | F010000             | 0   |
| 086       | F020000             | 0   |
| 087       | A010000             | COMMON STOCK                              |
| 087       | A020000             | 36240B109                                 |
| 087       | A030000             | GCV                                       |
| 087       | B010000             | 6.00% SERIES B CUMULATIVE PREFERRED STOCK |
| 087       | B020000             | 36240B307                                 |
| 087       | B030000             | GCVPRB                                    |
| 088       | A000000             | N   |
| 088       | B000000             | N   |
| 088       | C000000             | N   |
| 088       | D000000             | Y   |
| SIGNATURE | SCOTT R. FUCHS      |   |
| TITLE     | ASSISTANT TREASURER |   |