

ALBEMARLE CORP
Form 3
January 03, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â ZUMSTEIN RONALD C</p> <p>(Last) (First) (Middle)</p> <p>ALBEMARLE CORPORATION,Â 451 FLORIDA STREET</p> <p>(Street)</p> <p>BATON ROUGE,Â LAÂ 70801</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>12/30/2013</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>ALBEMARLE CORP [ALB]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Sr VP, Mfg & Supply Chain</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
---	---	---	---	---	---

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	8,593	D	Â
Common Stock	300	I	Children
Common Stock	15,177	I	Albemarle Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: ALBEMARLE CORP - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Non-Qualified Stock Option	Â (1)	03/11/2020	Common Stock	12,000	\$ 41.94	D	Â
Non-Qualified Stock Option	Â (2)	01/30/2021	Common Stock	6,333	\$ 56.16	D	Â
Non-Qualified Stock Options	Â (3)	02/24/2022	Common Stock	2,967	\$ 66.14	D	Â
Phantom Stock (4)	Â (5)	Â (6)	Common Stock	500	\$ (7)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ZUMSTEIN RONALD C ALBEMARLE CORPORATION 451 FLORIDA STREET BATON ROUGE, LA 70801	Â	Â	Â Sr VP, Mfg & Supply Chain	Â

Signatures

/s/ Jacob B. Wilson,
Attorney-in-fact

01/02/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Options granted on 3/12/2010. Stock Options vested in 3 equal installments over 3 years: 1/3 vested on 3/12/2011; 1/3 vested on 3/12/2012; and 1/3 vested on 3/12/2013.
- (2) Stock Options granted on 1/31/2011. Stock Options vest in 3 equal installments over 3 years: 1/3 vested on 1/31/2012; 1/3 vested on 1/31/2013; and 1/3 to vest on 3/12/2014.
- (3) Stock Options granted on 2/24/2012. Stock Options vest in 3 equal installments over 3 years: 1/3 vested on 2/24/2013; 1/3 to vest on 2/24/2014; and 1/3 to vest on 2/24/2015.
- (4) Phantom shares represent supplemental Savings Plan match.
- (5) No exercisable date.
- (6) No expiration date.
- (7) Converts 1 to 1 to Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Edgar Filing: ALBEMARLE CORP - Form 3

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.