

FLOTEK INDUSTRIES INC/CN/  
Form 4  
February 09, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SNIVELY JOSHUA A

2. Issuer Name and Ticker or Trading Symbol  
FLOTEK INDUSTRIES INC/CN/  
[FTK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
10603 W. SAM HOUSTON PKWY  
N., SUITE 300  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/05/2016

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
EVP Chemistry Research

HOUSTON, TX 77064

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4)                           |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| Common Sotck                    | 02/05/2016                           |  | F                              | V<br>Amount<br>3,062<br>(1)                                       | (D)<br>Price<br>\$<br>6.78  | 72,858 (2)   | D  |
| Common Stock                    |                                      |  |                                |   | 114,345 (2)   | I  | By Joshua A. Snively, Sr. and Heather B. Snively Revocable Trust (3) |
| Common Stock                    |                                      |  |                                |   | 128,916   | I  | By Joshua A. Snively,  |



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Transfer of 26,549 shares from direct ownership to indirect ownership through the Joshua A. Snively, Sr. and Heather B. Snively Revocable Trust.

- (3) Mr. Snively, Sr. is a trustee and beneficiary of the named trusts. Mr. Snively, Sr. disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.
- (4) Mr. Snively, Sr.'s spouse is a trustee and beneficiary of the named trust. Mr. Snively, Sr. disclaims beneficial ownership of such shares except to the extent of his pecuniary interests therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.