Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 4

Form 4	NDUSTRIES IN	C/CN/									
February 09	, 2016										
FORM 4 UNITED STATES SECUR							NGE	COMMISSION	N OMB	APPROVAL 3235-0287	
Check th if no lon subject to Section Form 4 Form 5 obligation may con <i>See</i> Insta 1(b).	nger to 16. or Filed pu ons ttinue.	ursuant to S 7(a) of the 3	Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940							Number:January 3°Expires:200Estimated averageburden hours perresponse0.	
(Print or Type	Responses)										
	Address of Reportin JOSHUA A	g Person <u>*</u>	Symbol	r Name and K INDUS			-	5. Relationship o Issuer (Che	of Reporting P eck all applical		
				of Earliest Transaction Day/Year) 2016				Director 10% Owner X_Officer (give title Other (specify below) EVP Chemistry Research			
HOUSTON	(Street) J, TX 77064			endment, Da nth/Day/Year	-	ıl		•	-	Person	
(City)	(State)	(Zip)	Tabl	la I. Nan F	Dominativ a	See	itiaa Aa	Person	of on Donofici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Da	(Bute) (Eup) Table . Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transactior(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price				Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Common Sotck	02/05/2016			F	3,062 (1)	D	\$ 6.78	72,858 (2)	D		
Common Stock								114,345 <u>(2)</u>	I	By Joshua A. Snively, Sr. and Heather B. Snively Revocable Trust (3)	
Common Stock								128,916	Ι	By Joshua A. Snively,	

Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 4

Sr. Irrevocable Trust (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SNIVELY JOSHUA A 10603 W. SAM HOUSTON PKWY N. SUITE 300 HOUSTON, TX 77064			EVP Chemistry Research				
Signatures							

/s/ Joshua A.

 ''s joshua A.
 02/09/2016

 Snively, Sr.
 2/09/2016

 ***Signature of Reporting
 Date

Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition of 3,062 shares at \$6.78/share for tax obligation relative to previously granted award vesting on 2/5/2016.
- (2)

Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 4

Transfer of 26,549 shares from direct ownership to indirect ownership through the Joshua A. Snively, Sr. and Heather B. Snively Revocable Trust.

- (3) Mr. Snively, Sr. is a trustee and beneficiary of the named trusts. Mr. Snively, Sr. disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.
- (4) Mr. Snively, Sr.'s spouse is a trustee and beneficiary of the named trust. Mr. Snively, Sr. disclaims beneficial ownership of such shares except to the extent of his pecuniary interests therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.