MCDERMOTT JOHN P

Form 4

February 01, 2018

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

OMB APPROVAL

Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

5 Relationship of Reporting Person(s) to

may continue. See Instruction

30(h) of the Investment Company Act of 1940

2 Jasuar Nama and Tiakar or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

MCDERMOTT JOHN P			2. Issuer Name and Ticker or Trading Symbol ROCKWELL AUTOMATION INC [ROK]				Is	Issuer (Check all applicable)				
(Last) 1201 SOU	(First) TH SECOND ST	(Middle)	(Month/	Date of Earliest Transaction Ionth/Day/Year) 1/30/2018				be	Director 10% Owner Officer (give title Other (specify below) Sr. Vice President			
	(Street)			nendment onth/Day/Y		e Original		A_{j}	Individual or Join pplicable Line) _ Form filed by One _ Form filed by More	e Reporting Per	son	
MILWAU	KEE, WI 53204							Pe	rson	ie man One Kep	orting	
(City)	(State)	(Zip)	Tal	ole I - No	n-De	erivative Se	curiti	es Acquir	ed, Disposed of, o	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transac Code (Instr. 8	tiorD (I)	Securities isposed of (nstr. 3, 4 an	(D)	red (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock				Couc	•	Timount	(D)	THEC	38,303 (1)	D		
Common Stock	01/30/2018	01/31/20	18	I	14	49.0425	D	\$ 199.78	0	I	By Savings Plan	
Reminder: Re	eport on a separate lin	e for each cl	ass of sec	curities be	enefic	-			rectly.	on of SE	SC 1474	

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	TC'41	or		
						Exercisable Date	Date	Title	Number		
				C 1 17	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

MCDERMOTT JOHN P 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204

Sr. Vice President

Signatures

Karen A. Balistreri, Attorney-in-Fact for John P. **McDermott**

02/01/2018

Date

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 2,670 shares held by the Company to implement restrictions on transfer unless and until certain conditions are met.
 - Includes shares represented by Company stock fund units acquired under the Company Savings Plan since the date last reported for this person based on information furnished by the Plan Administrator as of 1/30/2018. The number of stock fund units represented by the
- (2) balance of the participant's Company stock fund account may not exactly equal the number of stock fund units represented by a prior balance due to variance in the proportion of uninvested cash held in the reference fund used to determine unit values of the Company stock fund under the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. Chief Executive Officer (principal executive officer) Date August 22, 2011 ----- By (Signature and Title)* /s/ Mark R. Bradley

----- Mark R. Bradley, Treasurer, Chief Financial Officer and Chief Accounting Officer (principal financial officer) Date August 22, 2011 -----* Print the name and title of each signing officer under his or her signature.

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