

HEARTY JAMES O

Form 3

April 10, 2018

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

HEARTY JAMES O

(Last) (First) (Middle)

C/O DAVITA INC., 2000
16TH STREET

(Street)

DENVER, CO 80202

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/31/2018

3. Issuer Name and Ticker or Trading Symbol
DAVITA INC. [DVA]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed (Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Chief Compliance Officer

6. Individual or Joint/Group

Filing (Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

997

D

A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of
Indirect Beneficial
Ownership
(Instr. 5)

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		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units	05/15/2018	07/24/2019	Common Stock	450	\$ 0	D	Â
Restricted Stock Units	06/02/2018 ⁽¹⁾	09/02/2020	Common Stock	746	\$ 0	D	Â
Restricted Stock Units	05/15/2019 ⁽²⁾	08/13/2021	Common Stock	827	\$ 0	D	Â
Restricted Stock Units	05/15/2020 ⁽³⁾	09/06/2022	Common Stock	457	\$ 0	D	Â
Stock Appreciation Rights	04/24/2018 ⁽⁴⁾	04/24/2019	Common Stock	3,601	\$ 69.38	D	Â
Stock Appreciation Rights	05/13/2019 ⁽⁵⁾	05/13/2021	Common Stock	3,308	\$ 75.42	D	Â
Stock Appreciation Rights	05/15/2020 ⁽⁶⁾	06/06/2022	Common Stock	2,283	\$ 65.48	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HEARTY JAMES O C/O DAVITA INC. 2000 16TH STREET DENVER, CO 80202	Â	Â	Â Chief Compliance Officer	Â

Signatures

/s/ Arturo Sida,
Attorney-in-Fact

04/10/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These restricted stock units are scheduled to vest 50% each on 6/2/2018 and 6/2/2019, subject to continued service through each applicable vesting date.
- (2) These restricted stock units are scheduled to vest 50% each on 5/15/2019 and 5/15/2020, subject to continued service through each applicable vesting date.
- (3) These restricted stock units are scheduled to vest 50% each on 5/15/2020 and 5/15/2021, subject to continued service through each applicable vesting date.
- (4) The Stock Appreciation Rights were granted on 4/24/2014. 50% vested on 4/24/2017 and the remaining 50% will vest on 4/24/2018.
- (5) The Stock Appreciation Rights were granted on 5/13/2016. 50% will vest on 5/13/2019 and the remaining 50% will vest on 5/13/2020.
- (6) The Stock Appreciation Rights were granted on 6/6/2017. 50% will vest on 5/15/2020 and the remaining 50% will vest on 5/15/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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