

Kirby Jefferson
Form 5
February 05, 2019

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362
Expires: January 31,
2005
Estimated average
burden hours per
response... 1.0

1. Name and Address of Reporting Person *
Kirby Jefferson

(Last) (First) (Middle)

ALLEGHANY
CORPORATION, 1411
BROADWAY, 34TH FLOOR

(Street)

NEW YORK, NY 10018

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
ALLEGHANY CORP /DE [Y]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/04/2018	Â	G ⁽¹⁾	17,775 A \$ 0	77,016	D	Â
Common Stock	10/16/2018	Â	G ⁽¹⁾	8,887 A \$ 0	85,903	D	Â
Common Stock	06/04/2018	Â	G ⁽¹⁾	118,510 D \$ 0	277,602	I	Held by trusts w. reporting

Edgar Filing: Kirby Jefferson - Form 5

Common Stock	10/16/2018	Â	<u>G⁽¹⁾</u>	59,253	D	\$ 0	218,349	I	person as co-trustee and beneficiary Held by trusts w. reporting person as co-trustee and beneficiary
Common Stock	06/04/2018	Â	<u>G⁽¹⁾</u>	11,850	A	\$ 0	11,850	I	Held by trust w. reporting person as co-trustee and beneficiaries as reporting person's descendants
Common Stock	10/16/2018	Â	<u>G⁽¹⁾</u>	5,925	A	\$ 0	17,775	I	Held by trust w. reporting person as co-trustee and beneficiaries as reporting person's descendants
Common Stock	06/04/2018	Â	<u>G⁽¹⁾</u>	14,224	A	\$ 0	14,224	I	Held by trusts w. reporting person as sole trustee and beneficiaries as reporting person's children
Common Stock	07/17/2018	Â	<u>G⁽²⁾</u>	1,778	D	\$ 0	12,446	I	Held by trusts w. reporting person as sole trustee and beneficiaries as reporting person's

Common Stock	10/16/2018	Â	G ⁽¹⁾	7,112	A	\$ 0	19,558	I	children Held by trusts w. reporting person as sole trustee and beneficiaries as reporting person's children
Common Stock	10/18/2018	Â	G ⁽²⁾	888	D	\$ 0	18,670	I	Held by trusts w. reporting person as sole trustee and beneficiaries as reporting person's children
Common Stock	Â	Â	Â	Â	Â	Â	27,586	I	Held by trust w. reporting person as sole trustee and beneficiary
Common Stock	Â	Â	Â	Â	Â	Â	19	I	Held by reporting person's spouse
Common Stock	Â	Â	Â	Â	Â	Â	364	I	Held by reporting person's children sharing the same household

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying	8. Price of Derivative Security	9. of D
---------------------------------	---------------------------	--------------------------------------	-----------------------------------	---------------------	--------------	--	-----------------------------------	---------------------------------	---------

Edgar Filing: Kirby Jefferson - Form 5

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Securities (Instr. 3 and 4)	(Instr. 5)	Se B O E Is Fi (I
(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kirby Jefferson ALLEGHANY CORPORATION 1411 BROADWAY, 34TH FLOOR NEW YORK, NY 10018	Â X	Â	Â	Â

Signatures

/s/ Stela Burghart,
Attorney-in-Fact

02/05/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Distribution by trust of which the reporting person is co-trustee to beneficiaries.

(2) Distribution by trust of which the reporting person is sole trustee to beneficiaries.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.