

Edgar Filing: MINNTECH CORP - Form SC 13D

MINNTECH CORP
Form SC 13D
August 17, 2001

SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13D
(Rule 13d-101)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT
TO RULE 13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO
RULE 13d-2(a)

(Amendment No.) ()

Minnotech Corporation

(Name of Issuer)

Common Stock

(Title of Class of Securities)

604258103

(CUSIP Number)

Allen B. Holeman, Bear, Stearns & Co. Inc.
115 South Jefferson Road, Whippany, NJ 07981
(973) 793-2202

(Name, Address and Telephone Number of Person
Authorized to Receive Notices and Communications)

August 9, 2001

(Date of Event which Requires Filing of This Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box ☐.

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7(b) for other parties to whom copies are to be sent.

(Continued on following pages)
(Page 1 of Pages)

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- (1) The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

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The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

(SC13D-07/98)

CUSIP No. 604258103 13D Page of Pages

1 NAME OF REPORTING PERSONS
I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

The Bear Stearns Companies Inc.**
IRS # 13-3286161

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*

(a) ☐
(b) ☐

3 SEC USE ONLY

4 SOURCE OF FUNDS*

WC, OO

5 CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED
PURSUANT TO ITEMS 2(d) OR 2(e) ☐

6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

7 SOLE VOTING POWER

NUMBER OF
SHARES 0
8 SHARED VOTING POWER
BENEFICIALLY

OWNED BY 401,101
EACH 9 SOLE DISPOSITIVE POWER
REPORTING 0
PERSON

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10 SHARED DISPOSITIVE POWER
WITH
401,101

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

401,101

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES*

☐

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

6.00%

14 TYPE OF REPORTING PERSON*

HC

*SEE INSTRUCTIONS BEFORE FILLING OUT!

1 NAME OF REPORTING PERSONS

I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Bear, Stearns & Co. Inc.**
IRS # 13-3299429

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*

(a) ☐

(b) ☐

3 SEC USE ONLY

4 SOURCE OF FUNDS*

WC, OO

5 CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED
PURSUANT TO ITEMS 2(d) OR 2(e)

☐

6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

7 SOLE VOTING POWER

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NUMBER OF	0	
SHARES		
BENEFICIALLY	8	SHARED VOTING POWER
OWNED BY	401,101	
EACH	9	SOLE DISPOSITIVE POWER
REPORTING	0	
PERSON	10	SHARED DISPOSITIVE POWER
WITH	401,101	

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

401,101

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES*

☐

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

6.00%

14 TYPE OF REPORTING PERSON*

BD

*SEE INSTRUCTIONS BEFORE FILLING OUT!

CUSIP No.	604258103	13D	Page	of	Pages
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Item 1. Security and Issuer.
Common Stock

Minntech Corporation
14605 28th Avenue North
Minneapolis, MN 55447

Item 2. Identity and Background.

(a)Name: Bear, Stearns & Co. Inc. ("Bear Stearns")

(b)Place of Organization: Delaware

(c) (i)Principal Business: Securities Broker/Dealer
(ii) Address: 245 Park Avenue
New York, NY 10167

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(d)None

(e)See Appendix II

(f)See Appendix I

Item 3. Source and Amount of Funds or Other Consideration.

The aggregate purchase price of the 401,101 shares of Common Stock was approximately \$4,011,010.00.

Item 4. Purpose of Transaction.

Bear Stearns has acquired the Common Stock of Minntech Corporation in the ordinary course of its business as a broker/dealer in connection with its trading and investment activities. Bear Stearns may acquire additional securities of the Issuer or dispose of securities of the Issuer in connection with such trading and investment activities. Although the foregoing represents the range of activities presently contemplated by Bear Stearns with respect to the Issuer, it should be noted that the possible activities of Bear Stearns are subject to change at any time.

Except as set forth above, Bear Stearns has no present plans or intentions which relate to or would result in any of the actions described in subparagraph (a) through (j) of item 4 of Schedule 13D.

Item 5. Interest in Securities of the Issuer as of 8/9/2001.

(a)Number:	401,101
Percentage:	6.00%

(b)1.Sole power to vote or to direct the vote:	0
2.Shared power to vote or to direct the vote:	401,101
3.Sole power to dispose or to direct the disposition:	0
4.Shared power to dispose or to direct the disposition:	401,101

(c)Information concerning transactions in the common stock effected by Bear Stearns is set forth on Appendix III hereto.

(d)Inapplicable.

(e)Inapplicable.

Item 6. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer.

None

Item 7. Material to be Filed as Exhibits.

None

**Bear Stearns & Co. is a subsidiary of The Bear Stearns Companies Inc.

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After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

August 17, 2001

(Date)

/s/

(Signature)

Barry J. Cohen/Senior Managing Director

(Name/Title)

APPENDIX I

DIRECTORS AND EXECUTIVE OFFICERS OF BEAR, STEARNS & CO. INC.

Name	Principal Occupation or Employment
James E. Cayne	Chairman of the Board/Chief Executive Officer Chairman of the Management and Compensation Committee
Alan D. Schwartz	President and Co-Chief Operating Officer
Warren J. Spector	President and Co-Chief Operating Officer
Alan C. Greenberg	Chairman of the Executive Committee
Bruce E. Geismar	Chief Operations Officer
Mark E. Lehman	Executive Vice President/General Counsel Chief Legal Officer
Michael Minikes	Treasurer
Samuel L. Molinaro Jr.	Chief Financial Officer/Senior Vice President-Finance
E. John Rosenwald Jr.	Vice-Chairman of the Board
Michael L. Tarnopol	Vice-Chairman of the Board
Kenneth L. Edlow	Secretary
Denis A. Bovin	Director
Peter D. Cherasia	Director
Ralph R. Cioffi	Director
Barry J. Cohen	Director
Wendy L. de Monchaux	Director
Daniel L. Keating	Director

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John L. Knight	Director
David A. Liebowitz	Director
Richard R. Lindsey	Director
Bruce M. Lisman	Director
Roland N. Livney	Director
Jeffrey Mayer	Director
Fares D. Noujaim	Director
Craig M. Overlander	Director
Stephen E. Raphael	Director
Robert M. Steinberg	Director
Donald W. Tang	Director
Michael J. Urfirer	Director
Eli Wachtel	Director
Uzi Zucker	Director

John L. Knight's business address is One Canada Square London E16 5AD England. All other Directors and Executive Officers are citizens of the United States and their business address is 245 Park Avenue, New York, New York 10167. Bear Stearns & Co. Inc. is a wholly-owned subsidiary of the Bear Stearns Companies Inc. and of the persons named, all but John L. Knight hold similar office in the parent company.

APPENDIX II

Minntech Corporation
Trading from 06/11/01 through 08/09/2001
(Various Firm's Accounts)

	***** 08/09 *****	
37,500 MINNTECH CORP	10.2376	383,910.00
20,200 MINNTECH CORP	10.2376	206,799.52
17,300 MINNTECH CORP	10.2376	177,110.48
	***** 08/06 *****	
6,400 MINNTECH CORP	10.2392	65,530.88
3,400 MINNTECH CORP	10.2392	34,813.28
2,900 MINNTECH CORP	10.2392	29,693.68
	***** 07/31 *****	
1,000 MINNTECH CORP	10.1400	10,140.00
	***** 07/17 *****	
26,000 MINNTECH CORP	9.9974	259,932.40
13,500 MINNTECH CORP	9.9974	134,964.90
10,500 MINNTECH CORP	9.9974	104,972.70
	***** 07/16 *****	
41,000 MINNTECH CORP	9.9572	408,245.20
20,400 MINNTECH CORP	9.9572	203,126.88
16,900 MINNTECH CORP	9.9572	168,276.68
	***** 06/12 *****	

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4,100 MINNTECH CORP

10.0200

41,082.00

Attention. Intentional misstatements or omissions of fact constitute federal criminal violations (see 18 U.S.C. 1001).