

ONDIS ALBERT W
Form SC 13G/A
February 17, 2009
SCHEDULE 13G

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

SCHEDULE 13G

(Amendment No. 10)

Under the Securities Exchange Act of 1934

ASTRO-MED, INC.

(Name of Issuer)

Common Stock, \$.05 par value

(Title of Class of Securities)

04638F10

(CUSIP Number)

December 31, 2008

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☐ Rule 13d-1(b)
☐ Rule 13d-1(c)
☒ Rule 13d-1(d)

Edgar Filing: ONDIS ALBERT W - Form SC 13G/A

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

(A fee is not being paid with this statement.)

CUSIP NO. 04638F10

Page 1 of 6 Pages

Edgar Filing: ONDIS ALBERT W - Form SC 13G/A

SCHEDULE 13G

(Amendment No. 10)

1) Name of Reporting Person. Albert W. Ondis

2) Check the Appropriate box if a Member of a Group (See Instructions)

(a) ☐

(b) ☐

3) SEC Use Only.....

4) Citizenship or Place of Organization. United States of America

Number of
Shares Bene-
ficially
Owned By
Each Report-
ing Person
With

(5) Sole Voting Power: 2,042,285*

(6) Shared Voting Power: 4,053

(7) Sole Dispositive Power: 2,042,285*

(8) Shared Dispositive Power: 4,053

9) Aggregate Amount Beneficially Owned by Each Reporting Person. 2,046,338

10) Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions).

11) Percent of Class Represented by Amount in Row 9. 27.8% (based on 7,010,203 shares outstanding on December 31, 2008 and assumes conversion of all options exercisable within 60 days).

12) Type of Reporting Person (See Instructions). IN

* Includes 363,375 shares of common stock issuable upon exercise of currently exercisable options.

CUSIP NO. 04638F10

Page 2 of 6 Pages

SCHEDULE 13G

(Amendment No. 10)

Item 1(a). Name of Issuer.
Astro-Med, Inc. (ALOT)

Item 1(b). Address of Issuer's Principal Executive Offices.
600 East Greenwich Avenue, West Warwick, RI 02893

Item 2(a). Name of Person Filing.
Albert W. Ondis

Item 2(b). Address of Principal Business Office.

Astro-Med Industrial Park, 600 East Greenwich Avenue, West Warwick, RI 02893

Item 2(c). Citizenship.
United States

Item 2(d). Title of Class of Securities.
Common Stock, \$.05 par value

Item 2(e). CUSIP Number.
04638F10

Item 3.

Not applicable

CUSIP NO. 04638F10

Page 3 of 6 Pages

SCHEDULE 13G

(Amendment No. 10)

Item 4. Ownership.

(a) Amount Beneficially Owned.

1,367,339 shares are held directly
363,375 shares are held subject to exercisable options
4,053 shares are held indirectly under employee stock ownership plan
311,571 shares are held as Trustee of trusts of which Mr. Ondis' children
are beneficiaries

(b) Percent of Class. The shares of Common Stock beneficially owned by Mr. Ondis represent 28.3% of the issued and outstanding common stock (based on 7,010,203 shares outstanding on December 31, 2008 and assuming exercise of exercisable options).

(c) Number of shares of Common Stock as to which Mr. Ondis has:

(i) sole power to vote or to direct the vote: 2,042,285*

(ii) shared power to vote or to direct the vote: 4,053

(iii) sole power to dispose or to direct the disposition of: 2,042,285*

(iv) shared power to dispose or to direct the disposition of: 4,053

Item 5. Ownership of Five Percent or Less of a Class.

Not applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable.

* Includes 363,375 shares of common stock issuable upon exercise of currently exercisable options.

CUSIP NO. 04638F10

Page 4 of 6 Pages

SCHEDULE 13G

(Amendment No. 10)

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security

Being Reported on By the Parent Holding Company or Control Person.
Not applicable.

Item 8. Identification and Classification of Members of the Group.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

Item 10. Certification.

Not applicable.

CUSIP NO. 04638F10

Page 5 of 6 Pages

Edgar Filing: ONDIS ALBERT W - Form SC 13G/A

SCHEDULE 13G

(Amendment No. 10)

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 17, 2009
Albert W. Ondis

/s/ Albert W. Ondis

CUSIP NO. 04638F10

Page 6 of 6 Pages