

Edgar Filing: SONEX RESEARCH INC - Form 4/A

SONEX RESEARCH INC

Form 4/A

October 08, 2002

FORM 4 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(f) of the Investment Company Act of 1940

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5
obligations may continue. See Instruction 1(b). (Print or Type Responses)

1.Name and Address of Reporting Person*

Myron A. Wick, III, 50 Osgood Place, San Francisco, CA 94133

2.Issuer Name and Ticker or Trading Symbol

Sonex Research, Inc.

3.I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4.Statement for Month/Year 10/02

5.If Amendment, Date of Original (Month/Year)10/02

6.Relationship of Reporting Person(s) to Issuer (Check all applicable)

Director 10% Owner Officer (give title below) Other (specify below)

7.Individual or Joint/Group Reporting (check applicable line)

Form Filed by One Reporting Person

Form Filed by More than One Reporting Person

Table I-Non-Derivative Securities Acquired, Disposed of, or Beneficially
Owned

1. Title of Security(Instr. 3)

2. Trans-action Date (Month/ Day/ Year)

3. Trans-action Code(Instr. 8)

4. Securities Acquired (A) or Disposed of (D) - Amount, A or D, Price

5. Amount of Securities Beneficially Owned at the end of Issuer's Fiscal Year

6. Owner-ship Form: Direct (D) or Indirect (I) (Instr. 4)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

1.	2.	3.	4.	4.	4.	5.	6.	7
Title	Date	Code	Amount	A/D	Price	Owned	Ownership	Nature
Common						325,000	D	
Common	10/02/02	S	8500	D	0.22	2,901,403	I	By LP and IAA*

*By LP and IAA= By Limited Partnerships and Investment Advisory Accounts

Table II-Derivative Securities Acquired, Disposed of, or Beneficially
Owned (e.g., puts calls warrants options, convertible securities)

1.Title of Derivative Security (Instr. 3)

2.Conver- sion or Exercise Price of Derivative Security

3.Trans- action Date (Month/ Day/ Year)

4.Trans- action Code (Instr. 8)

5.Number of Derivative Securities Acquired (A) or Disposed of (D)

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- 6.Date Exercisable and Expiration Date (Month/Day/Year)
- 7.Title and Amount of Underlying Securities (Instr. 3 and 4)
- 8.Price of Derivative Security (Instr. 5)
- 9.Number of Derivative Securities Beneficially Owned at End of Year
- 10.Ownership of Derivative Security: Direct (D) or Indirect (I)
- 11.Nature of Indirect Beneficial Ownership

Title	Exercise Price	Transaction Date	Code	Number A or D	Date Exercise	Title	Number Owned	Ownership D/I	Nature
Preferred (1)					current-n/a	Common	2,071,428	I	
Put	\$.50				(2a)-12/7/09	Common	250,000	I	
Option	\$.50				Current-12/7/08	Common	100,000	D	
Put	\$.35				current-2b	Common	357,143	I	
Option	\$.50				current-6/25/05	Common	200,000	D	

Explanation of Responses:

- 1)Represents shares issuable upon the conversion of 725,000 shares of Preferred Stock at the rate of \$.35 per share
- 2)Represents options granted to the Issurers former president to acquire shares currently owned by Proactive, et al., exercisable as follows: 25% as of the date of grant, and 25% on each subsequent anniversary of the date of grant (a)-December 8,1999; (b)-December 15,1997

**Signature of Reporting Person

Date

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note:File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

1; border-right-width: 1; border-bottom-width: 1">1.Title of Security
(Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3. Transaction Code
(Instr. 8)4. Securities Acquired (A) or Disposed of (D)
(Instr. 3, 4 and 5)5. Amount of Securities Beneficially Owned Following Reported Transaction(s)
(Instr. 3 and 4)6. Ownership Form: Direct (D) or Indirect (I)
(Instr. 4)7. Nature of Indirect Beneficial Ownership
(Instr. 4)CodeVAmount(A) or (D)Price Common Stock09/09/2009 M 50,000 A \$ 0.44 433,003 D Common
Stock09/09/2009 M 20,000 A \$ 0.75 453,003 D Common Stock09/09/2009 S 70,000 D \$ 2.8672 (1) 383,003 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options (Right to buy)	\$ 0.44	09/09/2009		M		09/28/2004	09/28/2009	Common Stock	50,000
Options (Right to buy)	\$ 0.75	09/09/2009		M		11/12/2004	11/11/2009	Common Stock	20,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NANNA RICHARD F C/O GENERAL MOLY, INC. 1726 COLE BLVD., SUITE 115 LAKEWOOD, CO 80401		X		

Signatures

/s/ Jennifer A. D'Alessandro, as attorney-in-fact 09/10/2009

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$2.85 (1) to \$2.89, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range.
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