

FARAHI JOHN  
Form 4  
November 08, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FARAHI JOHN

2. Issuer Name and Ticker or Trading Symbol  
MONARCH CASINO & RESORT INC [MCRI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
04/13/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
CEO

MONARCH CASINO & RESORT INC, 1175 MOANA LANE SUITE 200

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

RENO, NV 89509

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	Price	
Common Stock	04/13/2018		G		287,000	\$ 41.18	D
Common Stock	04/13/2018		G		70,500	\$ 41.18	I by trust
Common Stock	04/13/2018		G		70,500	\$ 41.18	I by trust
Common Stock	04/13/2018		G		70,500	\$ 41.18	I by trust
Common Stock	04/13/2018		G		70,500	\$ 41.18	I by trust

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Common Stock \$ 41.18  
 Common Stock 1,215 I by trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options/Right to Buy	\$ 39.82	11/01/2018		A	66,666	11/01/2021	11/01/2028	Common Stock	66,666
Options/Right to Buy	\$ 45.32					11/01/2020	11/01/2027	Common Stock	66,666
Options/Right to Buy	\$ 23.08					11/01/2019	11/01/2026	Common Stock	66,666
Options/Right to Buy	\$ 17.62					10/21/2018	10/21/2025	Common Stock	66,666
Options/Right to Buy	\$ 12.32					10/21/2017	10/21/2024	Common Stock	66,666
Options/Right to Buy	\$ 21.71					10/21/2016	10/21/2023	Common Stock	66,666
Options/Right to Buy	\$ 8.56					10/09/2015	10/09/2022	Common Stock	66,666
Options/Right to Buy	\$ 9.33					10/21/2014	10/21/2021	Common Stock	66,666

## Reporting Owners

Reporting Owner Name / Address

Relationships

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Director 10% Owner Officer Other

FARAHI JOHN  
MONARCH CASINO & RESORT INC  
1175 MOANA LANE SUITE 200  
RENO, NV 89509

X X CEO

## Signatures

John Farahi

11/08/2018

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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