

Li Ka Shing  
Form 4  
August 22, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Champion River Ventures Ltd

(Last) (First) (Middle)

C/O 7/F, CHEUNG KONG CENTER, 2 QUEEN'S ROAD CENTRAL

(Street)

K3

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ChromaDex Corp. [CDXC]

3. Date of Earliest Transaction (Month/Day/Year)  
08/18/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    | 08/18/2017                           |  | P                              | (A)<br>V<br>Amount<br>1,179,250<br>(1)                            | (D)<br>Price<br>\$<br>5,769,230<br>2.6  | D (2)  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: Li Ka Shing - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Champion River Ventures Ltd<br>C/O 7/F, CHEUNG KONG CENTER<br>2 QUEEN'S ROAD CENTRAL<br>K3 |               | X         |         |       |
| Prime Tech Global Ltd<br>C/O 7/F, CHEUNG KONG CENTER<br>2 QUEEN'S ROAD CENTRAL<br>K3       |               | X         |         |       |
| Mayspin Management Ltd<br>C/O 7/F, CHEUNG KONG CENTER<br>2 QUEEN'S ROAD CENTRAL<br>K3      |               | X         |         |       |
| Li Ka Shing<br>C/O 7/F, CHEUNG KONG CENTER<br>2 QUEEN'S ROAD CENTRAL<br>K3                 |               | X         |         |       |

## Signatures

|  |            |
|--|------------|
| Champion River Ventures Limited, By: /s/ Pau Yee Wan Ezra,<br>Director | 08/22/2017 |
| __Signature of Reporting Person  | Date       |
| Prime Tech Global Limited, By: /s/ Pau Yee Wan Ezra, Director          | 08/22/2017 |
| __Signature of Reporting Person  | Date       |
| Mayspin Management Limited, By: /s/ Pau Yee Wan Ezra,<br>Director      | 08/22/2017 |
| __Signature of Reporting Person  | Date       |
| /s/ Li Ka Shing  | 08/22/2017 |

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Champion River Ventures Limited ("Champion River") acquired 1,179,250 shares of the Issuer's common stock at the closing of the third tranche on August 18, 2017, pursuant to the Securities Purchase Agreement, dated April 26, 2017 (the "SPA"), by and among the Issuer, Champion River and Pioneer Step Holdings Limited ("Pioneer Step"), as amended by the First Amendment to Securities Purchase Agreement, dated May 24, 2017 (the "First Amendment"), by and among the Issuer, Champion River and Pioneer Step.

(2) The shares are held directly by Champion River. The sole shareholder of Champion River is Prime Tech Global Limited ("Prime Tech"), the sole shareholder of Prime Tech is Mayspin Management Limited ("Mayspin"), and the sole shareholder of Mayspin is Li Ka Shing ("Li Ka Shing"). Each of Prime Tech, Mayspin and Li Ka Shing disclaims Section 16 beneficial ownership of the securities held by Champion River, except to the extent of its pecuniary interest therein, if any.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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