

Edgar Filing: HALLWOOD GROUP INC - Form 4

HALLWOOD GROUP INC  
 Form 4  
 April 30, 2001

F O R M 4

U.S. SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

[ ] Check this box if  
 no longer subject  
 to Section 16

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities  
 Exchange Act of 1934, Section 17(a) of the Public  
 Utility Holding Company Act of 1935 or  
 Section 30(f) of the Investment Company Act 1940

|   |  |  |
|---|--|--|
| 1. Name and Address of<br>Reporting Person* | 2. Issuer Name and Ticker<br>or Trading Symbol | 6. Relationship of Reporting<br>Person to Issuer (check<br>all Applicable) |
|   | The Hallwood Group<br>Incorporated ("HWG")     |  |

|   |              |                                    |  |
|---|--------------|------------------------------------|--|
| The Alpha Trust   |              | April 2001                         | Direc  |
| (Last)  | (First) (MI) | 3. IRS or Soc. Sec. No.            | 4. Statement for                                     |
|   |              | of Reporting Person<br>(Voluntary) | Month/Year   |
| c/o Radlcifftees Trustee Company, S.A.<br>12 rue de l'Arquebuse |              |                                    | 5. If Amendment,<br>Date of Original<br>(Month/Year) |
| (Street)  |              |                                    | 7. Ind<br>Fil  |
| 1204 Geneva, Switzerland  |              |                                    |  |
| Line)   | (City)       | (State)                            | (Zip)  |

Table I - Non-Derivative Securities Acquired, Disposed of or Beneficially Owned

|                                  |                        |                        |             |
|----------------------------------|------------------------|------------------------|-------------|
| 1. Title of Security<br>(Inst.3) | 2. Transaction<br>Date | 3. Transaction<br>Code | 4. Se<br>on |
|----------------------------------|------------------------|------------------------|-------------|

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|              | (Month/<br>Day/Yr) | (Instr. 8)<br>Code | V     | (I)<br>Am |
|--------------|--------------------|--------------------|-------|-----------|
| Common Stock | 4/24/01            | P                  |       | 40,       |
| -----        | -----              | -----              | ----- | -----     |
| -----        | -----              | -----              | ----- | -----     |
| -----        | -----              | -----              | ----- | -----     |
| -----        | -----              | -----              | ----- | -----     |
| -----        | -----              | -----              | ----- | -----     |

| 5. Amount of Securities<br>Beneficially Owned at<br>at End of Month<br>(Inst. 3 & 4) | 6. Ownership Form<br>Direct (D) or<br>Indirect (I) | 7. Natur<br>Benef<br>(Inst |
|--|--|----------------------------|
| 726,687  | D  |                            |
| -----  | -----  | -----                      |
| -----  | -----  | -----                      |
| -----  | -----  | -----                      |
| -----  | -----  | -----                      |
| -----  | -----  | -----                      |

Reminder: Report on a separate line for each class securities owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b) (v). Page 1

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or Beneficially Owned (e.g., puts, calls, warrants, options, convertible security)

| 1. Title of Derivative Security (Instr.3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month (Day/Year)) |
|---|--|--|
| -----                                     | -----  | -----                                  |
| -----                                     | -----  | -----                                  |
| -----                                     | -----  | -----                                  |
| -----                                     | -----  | -----                                  |
| -----                                     | -----  | -----                                  |
| -----                                     | -----  | -----                                  |

| 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) |       | 6. Date Exercisable & Expiration Date (Mon./Day/Year) |                 | 7. Title & Amount Underlying Security (Inst. 3 & 4) |
|--|-------|---|-----------------|---|
| (A)  | (D)   | Date Exercisable                                      | Expiration Date | Title   |
| -----  | ----- | -----   | -----           | -----   |
| -----  | ----- | -----   | -----           | -----   |
| -----  | ----- | -----   | -----           | -----   |
| -----  | ----- | -----   | -----           | -----   |

| 8. Price of Derivative Security (Inst.5) | 9. Number of Derivative Securities Beneficially Owned at end of Month (Instr. 4) | 10. Ownership Form of Derivative Security Direct (D) or Indirect (I) (Instr.4) | 11.   |
|--|--|--|-------|
| -----                                    | -----  | -----  | ----- |

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\*\*Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).  
Date

/s/ Richard Crook  
-----  
Richard Crook

/s/ Katherina Hurter  
-----  
Katherina Hurter

Authorized Signat

Potential persons who are to respond to the collections of information contained  
in this form are not required to respond unless the form displays a currently  
valid OMD Number.

Page: 2 of 2