#### Edgar Filing: SMITH DAVID BYRON JR - Form 3

#### SMITH DAVID BYRON JR

Form 3 April 22, 2010

### FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

**OMB APPROVAL** 

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#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Statement			
e) 04/20/2010			<ol> <li>5. If Amendment, Date Original Filed(Month/Day/Year)</li> </ol>
ET	_X_ Directo	or10% Other	6. Individual or Joint/Group  Owner  X_Form filed by One Reporting
Table	Table I - Non-Derivative Securities Beneficially Owned		
Benef	icially Owned	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
12,00	00	D	Â
1,362	2,880	I	By Trust (1)
1,064	4	I	By Trust (2)
1,064	4	I	By Trust (3)
1,064	4	I	By Trust (4)
respond to the collecti contained in this form a espond unless the form	ion of re not i displays a	SEC 1473 (7-02	2)
dl E	Statement (JR (Month/Day/Year) 04/20/2010  EET  Table 2. Am Benef (Instr.  12,00 1,366 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,066 1,0	Statement (JR (Month/Day/Year) (dle) 04/20/2010  4. Relationsh Person(s) to 1  (Check  _X_ Director	A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Check all applicable)  A Relationship of Reporting Person(s) to Issuer  (Interport A Relationship of Re

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: SMITH DAVID BYRON JR - Form 3

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D)

or Indirect

(Instr. 5)

(I)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Amount or Title Number of

Shares

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

SMITH DAVID BYRON JR Â 50 SOUTH LASALLE STREET ÂX CHICAGO, ILÂ 60603

## **Signatures**

Paul A. Bernacki, Attorney-in-Fact for David H.B. Smith, Jr.

04/22/2010

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held in a trust of which the reporting person has a partial direct pecuniary interest.
- (2) These shares are held in a trust for the benefit of the reporting person's minor child, of which the reporting person is a co-trustee.
- (3) These shares are held in a trust for the benefit of the reporting person's minor child, of which the reporting person is a co-trustee.
- (4) These shares are held in a trust for the benefit of the reporting person's minor child, of which the reporting person is a co-trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2