

EASTMAN KODAK CO
Form 4
January 18, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Kruchten Brad

(Last) (First) (Middle)

**EASTMAN KODAK
COMPANY, 343 STATE STREET**

(Street)

ROCHESTER, NY 14650

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
EASTMAN KODAK CO [KODK]

3. Date of Earliest Transaction
(Month/Day/Year)
01/13/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Senior Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock, par value \$.01	01/13/2017		S ⁽¹⁾	600 D	\$ 14.92	19,795	D
Common Stock, par value \$.01	01/13/2017		S ⁽¹⁾	300 D	\$ 14.86	19,495	D
Common Stock, par value \$.01	01/13/2017		S ⁽¹⁾	600 D	\$ 14.97	18,895	D
Common Stock, par	01/13/2017		S ⁽¹⁾	1,900 D	\$ 14.88	16,995	D

value \$.01

Common
Stock, par
value \$.01

90

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By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. F Der Sec (Ins	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0 ⁽²⁾					<u>(2)</u> 09/03/2017		Common Stock, par value \$.01	5,888
Restricted Stock Units	\$ 0 ⁽³⁾					<u>(3)</u> 09/03/2018		Common Stock, par value \$.01	20,350
Restricted Stock Units	\$ 0 ⁽⁴⁾					<u>(4)</u> 09/03/2019		Common Stock, par value \$.01	26,958
Restricted Stock Units	\$ 0 ⁽⁵⁾					<u>(5)</u> 09/03/2020		Common Stock, par value \$.01	27,632
Restricted Stock Units	\$ 0 ⁽⁶⁾					<u>(6)</u> 08/24/2018		Common Stock, par value \$.01	4,871

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Stock Option (Right to Buy)	\$ 23.78	<u>(7)</u>	09/02/2021	Common Stock, par value \$.01	53,232
Stock Option (Right to Buy)	\$ 13.76	<u>(8)</u>	09/02/2022	Common Stock, par value \$.01	73,044
Stock Option (Right to Buy)	\$ 15.58	<u>(9)</u>	09/02/2023	Common Stock, par value \$.01	71,308
Stock Option (Right to Buy)	\$ 15.2	<u>(10)</u>	11/14/2023	Common Stock, par value \$.01	65,935
125% Warrants to purchase Common Stock, par value \$.01	\$ 14.93		09/03/2013	09/03/2018	Common Stock, par value \$.01 943 <u>(11)</u>
135% Warrants to purchase Common Stock, par value \$.01	\$ 16.12		09/03/2013	09/03/2018	Common Stock, par value \$.01 943 <u>(11)</u>
125% Warrants to purchase Common Stock, par value \$.01	\$ 14.93		09/03/2013	09/03/2018	Common Stock, par value \$.01 31 <u>(11)</u>
135% Warrants to purchase Common Stock, par value \$.01	\$ 16.12		09/03/2013	09/03/2018	Common Stock, par value \$.01 31 <u>(11)</u>

