FRANK ANDREAS G Form 4

November 09, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * FRANK ANDREAS G

(First)

2. Transaction Date 2A. Deemed

(Middle)

130 EAST RANDOLPH STREET, SUITE 1000

(Street)

2. Issuer Name and Ticker or Trading Symbol

Hill-Rom Holdings, Inc. [HRC]

(Month/Day/Year)

3. Date of Earliest Transaction

11/07/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Director 10% Owner X_ Officer (give title Other (specify

below)

SVP, Chief Transformation Off

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

CHICAGO, IL 60601

(City) (State) (Zip)

3. 4. Securities (Month/Day/Year) Execution Date, if

> (Month/Day/Year) (Instr. 8)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

SEC 1474

(9-02)

Reported Transaction(s)

Code V Amount (D) Price

(Instr. 3 and 4)

Common Stock

1.Title of

Security

(Instr. 3)

(A)

or

23,332

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: FRANK ANDREAS G - Form 4

D Se	Title of erivative ecurity nstr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Date (Month/Day/Year	-	7. Title and A Underlying S (Instr. 3 and	Secu
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ar or Nu of Sh
S C	mployee tock option 11/7/2018)	\$ 96.96	11/07/2018		A	6,601	<u>(1)</u>	11/07/2028	Common Stock	6
S	estricted tock Units	\$ 0 (2)	11/07/2018		A	1,896	11/08/2019(3)	11/08/2021(3)	Common Stock	1

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FRANK ANDREAS G 130 EAST RANDOLPH STREET SUITE 1000 CHICAGO, IL 60601

SVP, Chief Transformation Off

Signatures

/s/ Ari D. Mintzer as Attorney-in-Fact for Andreas G. Frank 11/09/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options vest 25% on each of November 7, 2019, 2020, 2021 and 2022.
- (2) Restricted stock units convert into common stock on a one-for-one basis.
- (3) Restricted stock units vest 34% on November 8, 2019 and 33% on November 8, 2020 and 2021. Restricted stock units are entitled to dividend equivalent rights which accrue on dividend record dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2