

LESAR DAVID J
Form 4
December 08, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LESAR DAVID J

(Last) (First) (Middle)
3000 N. SAM HOUSTON PARKWAY E.
(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HALLIBURTON CO [HAL]

3. Date of Earliest Transaction (Month/Day/Year)
12/06/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Exec Chairman of the Board

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | Code | V | Amount or Price | | |
| Common Stock | 12/06/2017 | | A | | 93,175 (1) | A | |
| | | | | | \$ 43.38 (2) | | |
| Common Stock | | | | | 71,469.24 | I | By Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|-------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount Number of Shares |
| Option to Buy Common Stock | \$ 43.38 | 12/06/2017 | | A | 77,899 | 12/06/2017 ⁽³⁾ | 12/06/2027 | Common Stock | 77,899 |
| Option to Buy Common Stock | \$ 53.54 | | | | | 12/07/2016 | 12/07/2026 | Common Stock | 114,000 |
| Option to Buy Common Stock | \$ 38.95 | | | | | 12/02/2015 | 12/02/2025 | Common Stock | 176,000 |
| Option to Buy Common Stock | \$ 40.75 | | | | | 12/03/2014 | 12/03/2024 | Common Stock | 178,000 |
| Option to Buy Common Stock | \$ 50.62 | | | | | 12/04/2013 | 12/04/2023 | Common Stock | 137,000 |
| Option to Buy Common Stock | \$ 33.5 | | | | | 12/05/2012 | 12/05/2022 | Common Stock | 208,000 |
| Option to Buy Common Stock | \$ 35.57 | | | | | 12/06/2011 | 12/06/2021 | Common Stock | 141,000 |
| Option to Buy Common Stock | \$ 39.19 | | | | | 12/01/2010 | 12/01/2020 | Common Stock | 108,000 |
| 06/2017 Restricted | \$ 0 ⁽⁴⁾ | | | | | ⁽⁵⁾ | ⁽⁵⁾ | Common Stock | 326,000 |

Stock
Units

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| LESAR DAVID J 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032 | X | | Exec Chairman of the Board | |

Signatures

Bruce A. Metzinger, by Power of
Attorney

12/08/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded pursuant to the Halliburton Company Stock and Incentive Plan. Said Plan provides for the surrender of common stock to the Issuer to satisfy withholding tax obligations.
 - (2) On December 6, 2017, the closing price of Halliburton Company's Common Stock on the New York Stock Exchange was \$43.38.
 - (3) The options awarded become exercisable on each of the first, second and third anniversaries of the grant in cumulative increments of one-third each of the number of shares subject to the grant.
 - (4) Each Restricted Stock Unit represents the right to receive one share of common stock.
 - (5) One-half of the Restricted Stock Units vest and distribute as common stock effective December 31, 2018. The other one-half will be valued on December 31, 2018 and distributed as cash in four equal annual installments beginning December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.