SunOpta Inc. Form 3 January 25, 2016

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SunOpta Inc. [STKL] Barnett Jill (Month/Day/Year) 01/18/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) SUNOPTA INC., Â 7301 OHMS (Check all applicable) LANE, SUITE 600 (Street) 10% Owner Director

EDINA, MNÂ 55439

(City)

\_\_\_\_ Director \_\_\_\_ 10% Owner
\_X\_ Officer \_\_\_ Other
(give title below) (specify below)
General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

\_\_\_\_ Form filed by More than One Reporting Person

(State)

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security
2. Amount of Securities
(Instr. 4)
Beneficially Owned
(Instr. 4)

(Zip)

Ownership
Form:
Direct (D)

4. Nature of Indirect Beneficial

rship Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Common shares 725 D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Title

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) 4. 5. Conversion or Exercise Form of Price of Derivative Security: Security Direct (D)

6. Nature of Indirect Beneficial Ownership

ve Security or Exercise Form of (Instr. 5)

#### Edgar Filing: SunOpta Inc. - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Options	(1)	08/12/2024	Common shares	5,000	\$ 13.86	D	Â
Stock options	(2)	05/12/2025	Common	5,398	\$ 10.08	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Other		
Barnett Jill SUNOPTA INC. 7301 OHMS LANE, SUITE 600 EDINA, MN 55439	Â	Â	General Counsel	Â		

### **Signatures**

/s/ Jill Barnett 01/25/2016

\*\*Signature of Person

Date

Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These options became or will become exercisable in five equal annual installments on each of August 12, 2015, 2016, 2017, 2018 and 2019. These options qualify as incentive stock options.
- These options will become exercisable in five annual installments. The first installment of 1,080 options will become exercisable on May 12, 2016. The second installment of 1,079 options will become exercisable on May 12, 2017. The third installment of 1,080 options will become exercisable on May 12, 2018. The fourth installment of 1,079 options will become exercisable on May 12, 2019. The fifth installment of 1,080 options will become exercisable on May 12, 2020. These options qualify as incentive stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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