### Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

#### COMMUNITY TRUST BANCORP INC /KY/

Form 4

August 10, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

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obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Addi GOOCH MAR	*	ng Person <u>*</u>	2. Issuer Name <b>and</b> Ticker or Trading Symbol COMMUNITY TRUST BANCORP INC /KY/ [CTBI]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) PO BOX 2947	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/07/2015	Director 10% OwnerX Officer (give title Other (specify below)  Executive Vice Pres./Secretary		
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Che Applicable Line) _X_ Form filed by One Reporting Person		
PIKEVILLE, I	XY 41502-2	947		Form filed by More than One Reporting Person		

(Cit	ty)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secu	rities Acqu	iired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	,	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi or(A) or Di (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Comm Stock	on	08/07/2015		M	8,307	A	\$ 29.451	26,468.4883	D	
Comm Stock	on	08/07/2015		S	8,307	D	\$ 35	18,161.4883	D	
Comm Stock	on							13,982.5472	I	By ESOP
Comm Stock	on							15,857.3598	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Option (1)	\$ 29.491 (2)	08/07/2015		M		2,076.75 (2)	01/27/2007	01/27/2016	Common Stock	2,076. <sup>(2)</sup>
Option (1)	\$ 29.491 (2)	08/07/2015		M		2,076.75 (2)	01/27/2008	07/27/2016	common stock	2,076.7 (2)
option (1)	\$ 29.491 (2)	08/07/2015		M		2,076.75 (2)	01/27/2009	01/27/2016	common stock	2,076.7 (2)
option (1)	\$ 29.491 (2)	08/07/2015		M		2,076.75 (2)	01/27/2010	01/27/2016	common stock	2,076.7 (2)
option (3)	\$ 35.409 (4)						01/23/2008	01/23/2017	common stock	2,383
option (3)	\$ 35.409 (4)						01/23/2009	01/23/2017	common stock	2,383
option (3)	\$ 35.409 (4)						01/23/2010	01/23/2017	common stock	2,383
option (3)	\$ 35.409 (4)						01/23/2011	01/23/2017	common stock	2,383
option (5)	\$ 25.745 (6)						01/29/2013	01/29/2018	common stock	5,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
GOOCH MARK A						
PO BOX 2947			Executive Vice Pres./Secretary			

PIKEVILLE, KY 41502-2947

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Date

## **Signatures**

Mark A. Gooch by Marilyn Justice, Attorney in Fact 08/10/2015

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 1998 Stock Option Plan).
- (2) Option previously reported as covering 1,888 shares @\$32.44 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.
- (3) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Option Plan).
- (4) Option previously reported as covering 2,166.25 shares @\$38.95 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.
- (5) Right to buy pursuant to Non-Qualified Stock Option Agreement (CTBI 2006 Stock Ownership Incentive Plan).
- (6) Option previously reported as covering 5,000 shares @\$28.32 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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