Waters Andy D Form 5/A February 26, 2013

FORM 5

OMB APPROVAL

OMB

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number: 3235-0362 Expires: January 31, 2005

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported 30(h) of the Investment Company Act of 1940

Form 4 Transactions Reported

1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Waters Andy D Symbol COMMUNITY TRUST BANCORP (Check all applicable) INC /KY/ [CTBI] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner _X__ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2012 **Executive Vice President** 100 EAST VINE STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) 02/14/2013

LEXINGTON, KYÂ 40507-1406

X Form Filed by One Reporting Person ___ Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Tal	ble I - Non-De	erivative Secu	rities	Acquired	, Disposed of, or	r Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/03/2012	Â	J <u>(1)</u>	19.1941	A	\$ 29.99	1,876.0738	D	Â
Common Stock	04/18/2012	Â	J <u>(1)</u>	122 (2)	D	\$ 0	1,754.0738	D	Â
Common Stock	04/28/2012	Â	J <u>(1)</u>	0.0738 (2)	D	\$ 31.42	1,754	D	Â
Common Stock	12/31/2012	Â	<u>J(3)</u>	289.5628 (3)	A	\$ 0 (3)	1,731.5498	I	By ESOP
	Â	Â	Â	Â	Â	Â	200	I	By IRA

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exerci Expiration Dat (Month/Day/Y	te e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (4)	\$ 30.88	Â	Â	Â	Â	Â	01/28/2009	01/28/2015	Common Stock	222
Option (4)	\$ 32.44	Â	Â	Â	Â	Â	01/27/2009	01/27/2016	Common Stock	222.25
Option (4)	\$ 32.44	Â	Â	Â	Â	Â	01/27/2010	01/27/2016	Common Stock	222.25
Option (5)	\$ 38.95	Â	Â	Â	Â	Â	01/23/2008	01/23/2017	Common Stock	453.75
Option (5)	\$ 38.95	Â	Â	Â	Â	Â	01/23/2009	01/23/2017	Common Stock	453.75
Option (5)	\$ 38.95	Â	Â	Â	Â	Â	01/23/2010	01/23/2017	Common Stock	453.75
Option (5)	\$ 38.95	Â	Â	Â	Â	Â	01/23/2011	01/23/2017	Common Stock	453.75
Option (6)	\$ 28.32	Â	Â	Â	Â	Â	01/29/2013	01/29/2018	Common Stock	1,250
Option (5)	\$ 29.82	Â	Â	Â	Â	Â	01/27/2010	01/27/2019	Common Stock	125
Option (5)	\$ 29.82	Â	Â	Â	Â	Â	01/27/2011	01/27/2019	Common Stock	125
Option (5)	\$ 29.82	Â	Â	Â	Â	Â	01/27/2012	01/27/2019	Common Stock	125

Edgar Filing: Waters Andy D - Form 5/A

Option <u>(5)</u>	\$ 29.82	Â	Â	Â	Â	Â	01/27/2013 01/27/2019 Common Stock	125
Option (6)	\$ 25.09	Â	Â	Â	Â	Â	01/26/2015 01/26/2020 Common Stock	200

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Waters Andy D

100 EAST VINE STREET LEXINGTON, KYÂ 40507-1406 Â Â Executive Vice President Â

Signatures

Andy D. Waters By: Marilyn T. Justice, Attorney-in-Fact

02/26/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Community Trust Bancorp, Inc. Dividend Reinvestment Plan Shares
- (2) Closed DRIP Account.

These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. Employee Stock Ownership Plan at a price

- (3) range of \$29.99-\$35.68 per share in transactions that were exempt from Section 16(b) by virtue of old rule 16a-8(b). The information reported herein is based on plan statement dated December 31, 2012.
- (4) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 1998 Stock Option Plan).
- (5) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Option Plan).
- (6) Right to buy pursuant to Non-Qualified Stock Option Agreement (CTBI 2006 Stock Ownership Incentive Plan).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3