Edgar Filing: Ellington Residential Mortgage REIT - Form 4

Ellington Residential Mortgage REIT Form 4 January 20, 2016

FORM Check this if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru	ITIES AND EXCHANGE COM hington, D.C. 20549 GES IN BENEFICIAL OWNE SECURITIES 5(a) of the Securities Exchange A ility Holding Company Act of 19 vestment Company Act of 1940				NERSHIP OF e Act of 1934, 7 1935 or Section	OMB Number: Expires: Estimated a burden hour response						
1(b). (Print or Type R												
Tecotzky Mark Symbo Elling			Symbol	er Name and Ticker or Trading on Residential Mortgage REIT				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 3. Date of (Month/D) 53 FOREST AVENUE 01/15/20				-				Director 10% Owner Officer (give title Other (specify below) Co-Chief Investment Officer				
			endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
								Person		-		
(City) 1.Title of Security (Instr. 3)	(State) 2. Transaction Da (Month/Day/Yea	r) Executio any		3. Transactic Code (Instr. 8) Code V	4. Securi on(A) or Di (Instr. 3,	ties Ad isposed	cquired d of (D)	uired, Disposed of 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of		
Common shares	01/15/2016			Р	862	А	10.72 (1)	862	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships							
FB	Director	10% Owner	Officer	Other				
Tecotzky Mark 53 FOREST AVENUE OLD GREENWICH, CT 06870			Co-Chief Investment Officer					
Signatures								
/s/ Jason Frank, as attorney-in-fact Tecotzky	for Mark	01/20/2016						
**Signature of Reporting Persor	1	I	Date					
53 FOREST AVENUE OLD GREENWICH, CT 06870 Signatures /s/ Jason Frank, as attorney-in-fact = Tecotzky	for Mark	01/2	Co-Chief Investment Officer 20/2016	Oti				

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$10.63 to \$11.02. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of

 average purchase price. The reporting person hereby undertakes to provide upon request to the SEC start, the issuer of a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected. All purchases pursuant to this transaction were made pursuant to a 10b5-1 trading plan adopted by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.