New Home Co Inc. Form 4 March 05, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average burden hours per response... 0.5

Expires:

**OMB APPROVAL** 

3235-0287

January 31,

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Witte William A.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(Middle)

New Home Co Inc. [NWHM] 3. Date of Earliest Transaction

(Check all applicable)

C/O THE NEW HOME COMPANY

03/03/2015

(Month/Day/Year)

\_X\_\_ Director 10% Owner Officer (give title \_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check

INC., 85 ENTERPRISE, SUITE 450

(Street)

(First)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ALISO VIEJO, CA 92656

| (City)              | (State) (Z          | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                |                        |           |       |  |                     |                             |  |  |
|---------------------|---------------------|--|--------------------------------|------------------------|-----------|-------|--|---------------------|-----------------------------|--|--|
| 1.Title of          | 2. Transaction Date |  | 3.                             | 4. Securi              |           |       | 5. Amount of                                       | 6. Ownership        | 7. Nature of                |  |  |
| Security (Instr. 3) | (Month/Day/Year)    | Execution Date, if any   | Code                           | onAcquired<br>Disposed | ` ′       |       | Securities<br>Beneficially                         | Form: Direct (D) or | Indirect<br>Beneficial      |  |  |
|                     |                     | (Month/Day/Year)   | (Instr. 8) (Instr. 3, 4 and 5) |                        | 5)        | Owned | Indirect (I)                                       | Ownership           |                             |  |  |
|                     |                     |  |                                |                        | (A)<br>or |       | Following Reported Transaction(s) (Instr. 3 and 4) | (Instr. 4)          | (Instr. 4)                  |  |  |
| Common<br>Stock     | 03/03/2015          |  | Code V A                       | Amount 4,219 (1)       | (D)       | Price | 9,260 (2)  | D                   |                             |  |  |
| Common<br>Stock     |                     |  |                                |                        |           |       | 50,000 (2)   | I                   | By Witte<br>Family<br>Trust |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title o | of 2.         | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc   | cisable and | 7. Titl | le and       | 8. Price of | 9. Nu  |
|------------|---------------|---------------------|--------------------|-------------|------------|-----------------|-------------|---------|--------------|-------------|--------|
| Derivativ  | ve Conversion | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration Date |             | Amou    | ınt of       | Derivative  | Deriv  |
| Security   | or Exercise   |                     | any                | Code        | of         | (Month/Day/     | Year)       | Under   | rlying       | Security    | Secui  |
| (Instr. 3) | Price of      |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e               |             | Secur   | rities       | (Instr. 5)  | Bene   |
|            | Derivative    |                     |                    |             | Securities |                 |             | (Instr. | . 3 and 4)   |             | Owne   |
|            | Security      |                     |                    |             | Acquired   |                 |             |         |              |             | Follo  |
|            | •             |                     |                    |             | (A) or     |                 |             |         |              |             | Repo   |
|            |               |                     |                    |             | Disposed   |                 |             |         |              |             | Trans  |
|            |               |                     |                    |             | of (D)     |                 |             |         |              |             | (Instr |
|            |               |                     |                    |             | (Instr. 3, |                 |             |         |              |             |        |
|            |               |                     |                    |             | 4, and 5)  |                 |             |         |              |             |        |
|            |               |                     |                    |             |            |                 |             |         | A            |             |        |
|            |               |                     |                    |             |            |                 |             |         | Amount       |             |        |
|            |               |                     |                    |             |            | Date            | Expiration  | T:41-   | or<br>Namel  |             |        |
|            |               |                     |                    | Code V      |            | Exercisable     | Date        | Title   | Number       |             |        |
|            |               |                     |                    |             | (A) (D)    |                 |             |         | of<br>Shares |             |        |
|            |               |                     |                    | Code V      | (A) (I))   |                 |             |         | Snares       |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address 10% Owner Officer Other Director

Witte William A. C/O THE NEW HOME COMPANY INC. 85 ENTERPRISE, SUITE 450 ALISO VIEJO, CA 92656



### **Signatures**

/s/ William A.

Witte 03/05/2015

Date \*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units ("RSU") which vest upon the earlier of the one year anniversary of the grant date and the date of the (1) board meeting at which the Issuer's financial results for the fourth quarter of the year in which the RSU were granted are presented for board review, subject to the Reporting Person's continued service with the Issuer through the applicable vesting date.
  - Table I, Item 5 of Form 4 filed February 5, 2014 reported that each of the Reporting Person and his family trust held 53,750 shares following the reported transaction. The Reporting Person held 3,750 shares directly and the family trust held 50,000 shares indirectly.
- Table I, Item 5 of Form 4 filed March 26, 2014 reported that the Reporting Person held 55,041 shares (rather than 5,041 shares) following the reported transaction and inadvertently omitted the 50,000 shares held indirectly by the family trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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