#### PITNEY BOWES INC /DE/

Form 3/A January 20, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PITNEY BOWES INC /DE/ [PBI] Snyder Lila (Month/Day/Year) 01/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3001 SUMMER STREET 01/04/2016 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting Officer Other (give title below) (specify below) STAMFORD, CTÂ 06926 Form filed by More than One Exec VP, Glbl Ecomm & Reporting Person Shipping (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock 4,571.4853 (1) D Â Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative<br>Security<br>(Instr. 4) | ve 2. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security |                        | 4.<br>Conversion<br>or Exercise | 5.<br>Ownership<br>Form of | 6. Nature of<br>Indirect Beneficial<br>Ownership |
|--|---|--------------------|--|------------------------|---------------------------------|----------------------------|--|
|  |   |                    | (Instr. 4)   |                        | Price of                        | Derivative                 | (Instr. 5)                                       |
|  | Date Exercisable  | Expiration<br>Date | TC: 41   | Amount or<br>Number of | Derivative                      | Security:                  |  |
|  |   |                    | Title  |                        | Security                        | Direct (D)                 |  |
|  |   |                    |  |                        |                                 | or Indirect                |  |

### Edgar Filing: PITNEY BOWES INC /DE/ - Form 3/A

|                            |               |            |                 | Shares  |          | (I)<br>(Instr. 5) |   |
|----------------------------|---------------|------------|-----------------|---------|----------|-------------------|---|
| Performance Stock<br>Units | (2)           | 02/09/2024 | Common<br>Stock | 11,151  | \$ 0     | D                 | Â |
| Restricted Stock Units     | (3)           | 02/10/2023 | Common<br>Stock | 3,192   | \$ 0     | D                 | Â |
| Performance Stock<br>Units | (4)           | 02/08/2025 | Common<br>Stock | 13,987  | \$ 0     | D                 | Â |
| Restricted Stock Units     | (5)           | 02/08/2025 | Common<br>Stock | 5,632   | \$ 0     | D                 | Â |
| Stock Option               | 02/12/2019(6) | 12/07/2025 | Common<br>Stock | 200,000 | \$ 24.79 | D                 | Â |

### **Reporting Owners**

| Reporting Owner Name / Address                          | Relationships |           |                                      |       |  |  |
|---|---------------|-----------|--------------------------------------|-------|--|--|
| 1   | Director      | 10% Owner | Officer                              | Other |  |  |
| Snyder Lila<br>3001 SUMMER STREET<br>STAMFORD, CT 06926 | Â             | Â         | Exec VP,<br>Glbl Ecomm &<br>Shipping | Â     |  |  |

# **Signatures**

/s/ Laurie Bellocchio - POA for Lila Snyder

01/19/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Form 3 is being amended to report common stock the Reporting Person owns in a joint brokerage account totaling 3,570 shares that were omitted from the original Form 3 dated January 4, 2016.
- (2) Each performance based restricted stock unit will vest subject to predetermined EBIT performance metrics on February 7, 2017.
- (3) The restricted stock units expire upon vesting and vest in three equal annual installments.
- (4) Each performance based restricted stock unit will vest subject to predetermined EBIT performance metrics on February 13, 2018.
- (5) The restricted stock units expire upon vesting and vest in three annual installments.
- (6) The stock option cliff vests 100% on February 12, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2