Eaton Corp plc Form 3/A March 28, 2017

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Eaton Corp plc [ETN] Monesmith Heath B. (Month/Day/Year) 03/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1000 EATON BLVD. 03/08/2017 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer Other (give title below) (specify below) CLEVELAND, OHÂ 44122 Form filed by More than One See Remarks below Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â **Ordinary Shares** D 25,564 I **Ordinary Shares**  $2,922.71 \frac{(1)}{}$ by trustee of ESP Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	(2)	(3)	Ordinary Shares	375	\$ 0	D	Â
Restricted Stock Units	02/24/2016(4)	(3)	Ordinary Shares	949	\$ 0	D	Â
Restricted Stock Units	02/23/2017(5)	(3)	Ordinary Shares	3,304	\$ 0	D	Â
Restricted Stock Units	02/21/2018(6)	(3)	Ordinary Shares	3,425	\$ 0	D	Â
Restricted Stock Units	02/23/2019(7)	(3)	Ordinary Shares	4,580	\$ 0	D	Â
Stock Option	02/21/2018(8)	02/21/2027	Ordinary Shares	17,200	\$ 71.89	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
roporting of their remains of their remains	Director	10% Owner	Officer	Other
Monesmith Heath B. 1000 EATON BLVD. CLEVELAND, OH 44122	Â	Â	See Remarks below	Â

## **Signatures**

/s/ Lizbeth L. Wright, as Attorney-in-Fact

03/28/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These ordinary shares are held in the Eaton Savings Plan.
- (2) These restricted stock units were granted on February 24, 2015 and vest as follows: 25% on the first and second anniversary of the date of the grant and the remaining 50% on the third anniversary of the date of the grant.
- (3) This field is not applicable.
- (4) These restricted stock units were awarded February 24, 2015 and vest as follows: 33% on the first and second anniversary of the date of the grant and the remaining 34% on the third anniversary of the date of the grant.
- (5) These restricted stock units were awarded February 23, 2016 and vest as follows: 33% on the first and second anniversary of the date of the grant and the remaining 34% on the third anniversary of the date of the grant.
- These restricted stock units were awarded February 21, 2017 and vest as follows: 33% on the first and second anniversary of the date of the grant and the remaining 34% on the third anniversary of the date of the grant.
- (7) These restricted stock units vest on the third anniversary of the grant date.

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Reporting Owners 2

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These stock options become exercisable as follows: 33% on the first and second anniversary of the date of the grant and the remaining 34% on the third anniversary of the date of the grant.

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#### **Remarks:**

Executive Vice President, General Counsel and Secretary of Eaton Corporation, a subsidiary of the Is On 3/28/2017, the Form is being amended only to attach the power of attorney, which was inadve

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.