#### **BECTON DICKINSON & CO**

Form 3

October 10, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Polen Thomas E Jr

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

10/01/2014

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O BECTON, DICKINSON AND COMPANY, 1 BECTON DRIVE

(Street)

Director \_X\_\_ Officer

Other (give title below) (specify below) Segment President, Medical

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

## FRANKLIN LAKES, NJÂ 07417

(City) (State)

(Zip)

### Table I - Non-Derivative Securities Beneficially Owned

BECTON DICKINSON & CO [BDX]

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Ownership Form: (Instr. 5)

10% Owner

Direct (D) or Indirect (I)

(Instr. 5)

SEC 1473 (7-02)

Common Stock

5,553 (1)

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4)

**Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Derivative Security:

## Edgar Filing: BECTON DICKINSON & CO - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Appreciation Rights	11/23/2011(2)	11/23/2020	Common Stock	2,670	\$ 76.64	D	Â
Stock Appreciation Rights	11/22/2012(3)	11/22/2021	Common Stock	5,958	\$ 72.12	D	Â
Stock Appreciation Rights	11/20/2013(4)	11/20/2022	Common Stock	10,950	\$ 76.18	D	Â
Stock Appreciation Rights	11/26/2014(5)	11/26/2023	Common Stock	11,094	\$ 108.89	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships				
. 0	Director	10% Owner	Officer	Other		
Polen Thomas E Jr C/O BECTON, DICKINSON AND COMPANY 1 BECTON DRIVE FRANKLIN LAKES. NJ 07417	Â	Â	Segment President, Medical	Â		

# **Signatures**

Richard Stout, by power of attorney for Thomas E. Polen, Jr. 10/10/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.
- (2) The stock appreciation rights vest in four annual installments beginning November 23, 2011.
- (3) The stock appreciation rights vest in four annual installments beginning November 22, 2012.
- (4) The stock appreciation rights vest in four annual installments beginning November 20, 2013.
- (5) The stock appreciation rights vest in four annual installments beginning November 26, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2