

CALI BRIAN J
Form 4/A
November 14, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
CALI BRIAN J

2. Issuer Name **and** Ticker or Trading
Symbol

FIDELITY D & D BANCORP INC
[FDBC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

1241 DECEMBER DRIVE

(Street)

DUNMORE, PA 18512

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
10/30/2017

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed(Month/Day/Year)
11/01/2017

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock <u>(1)</u>	10/30/2017		P	3,576 <u>(1)</u>	A \$ 34 188,650.688	D	BRIAN J CALI TR UA 10/09/95 SAMUEL C CALI GST EXEMPT RESIDUARY TRUST
Common Stock <u>(2)</u>	10/30/2017		P	1,811 <u>(2)</u>	A \$ 34 65,496.651	I	BRIAN J CALI TR SELF
Common Stock <u>(3)</u>	10/30/2017		P	1,765 <u>(3)</u>	A \$ 34 67,028.512	I	BRIAN J CALI TR SELF

Common Stock	4,885.456	I	EMPLOYED RETIREMENT PLAN FBO BRIAN J CALI BY SON, BRIAN J. COLBY CALI
Common Stock	5,239.477	I	BY SON, CAMERON S. CALI

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Report Trans (Instr.
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CALI BRIAN J 1241 DECEMBER DRIVE DUNMORE, PA 18512		X		

Signatures

Debra Alimenti 11/14/2017
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - On the original 11-1-17 Form 4 filing the Table I column 5 number of shares was inadvertently reported as the cumulative total of securities beneficially owned. The Table I column 5 totals have been amended to show the total securities beneficially owned by the nature of ownership
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 - (2) On the original 11-1-17 Form 4 filing the Table I column 5 number of shares was inadvertently reported as the cumulative total of securities beneficially owned. The Table I column 5 totals have been amended to show the total securities beneficially owned by the nature of ownership
 - (3) On the original 11-1-17 Form 4 filing the Table I column 5 number of shares was inadvertently reported as the cumulative total of securities beneficially owned. The Table I column 5 totals have been amended to show the total securities beneficially owned by the nature of ownership

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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