Korman Alan S Form 4 May 23, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Korman Alan S

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

below)

Symbol

COLUMBUS MCKINNON CORP

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

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Number:

Expires:

response...

[CMCO]

(Month/Day/Year)

05/21/2013

(Last) (First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify

140 JOHN JAMES AUDUBON

(Street)

**PARKWAY** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

VP, Gen. Counsel & Secretary

Applicable Line)

\_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting

AMHERST, NY 14228

7 HVIII ILIKS	1,111 11220	Person								
(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Seci	urities A	cquired, Disposed	of, or Benefic	cially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock							9,251	D		
Common Stock	05/21/2013		F	285 (1)	D	\$ 18.92	8,966 (2)	D		
Common Stock							117.3824 (3)	D		
Common Stock							515,226.6176	I	Additional shares held be ESOP; reporting	

by

person is 1 of 3 trustees; DISCLAIMS beneficial owernship.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		re e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 18.63					01/24/2014	01/23/2021	Common Stock	10,000
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	3,230
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	5,424
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/23/2023	Common Stock	4,601 (7)

# **Reporting Owners**

Reporting Owner Name / Address	Ketauonsnips					
	Director	10% Owner	Officer	Other		
Korman Alan S			VP, Gen. Counsel & Secretary			

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#### 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

## **Signatures**

Alan S. Korman

05/23/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisy tax withholding obligation upon vesting 676 restricted stock units on 5/21/2013.
  - Includes 8,320 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 1,284 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013, 2,029 shares become fully vested and non-forfeitable
- (2) 33.33% per year for three years beginning 5/21/2014, 2,181 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014; and the remaining 2,826 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 33.33% per year for three years beginning on January 24, 2014, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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