

WRIGHT DICKERSON

Form 4

August 16, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
WRIGHT DICKERSON

(Last) (First) (Middle)

200 SOUTH PARK RD., SUITE 350

(Street)

HOLLYWOOD, FL 33021

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

NV5 Global, Inc. [NVEE]

3. Date of Earliest Transaction
(Month/Day/Year)

08/09/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/09/2018		S ⁽²⁾	190,500	D \$ 79 731,217	I	Wright Family Trust dated December 12, 1990 ⁽¹⁾
Common Stock					135,978	I	The Lauren Wright Trust UAD the Dickerson Wright 2010

				GRAT dated June 28, 2010 ⁽¹⁾
Common Stock	135,978	I		The Stephanie Wright Trust UAD the Dickerson Wright 2010 GRAT dated June 28, 2010 ⁽¹⁾
Common Stock	135,978	I		The Lauren Wright Trust UAD the Katherine Wright 2010 GRAT dated June 28, 2010 ⁽¹⁾
Common Stock	135,978	I		The Stephanie Wright Trust UAD the Katherine Wright 2010 GRAT dated June 28, 2010 ⁽¹⁾
Common Stock	363,778	I		Dickerson Wright 2012 GRAT dated November 9, 2012 ⁽¹⁾
Common Stock	363,778	I		Katherine Wright 2012 GRAT dated November

9, 2012 ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WRIGHT DICKERSON 200 SOUTH PARK RD. SUITE 350 HOLLYWOOD, FL 33021	X	X	Chairman and CEO	

Signatures

/s/ MaryJo OBrien, as attorney
in fact

08/16/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Dickerson Wright and his wife, Katherine Wright, are trustees. Mr. Wright disclaims beneficial ownership of these securities except to the extent of this pecuniary interest therein.

(2) The Wright Family Trust entered into an underwriting agreement dated August 9, 2018 with Merrill Lynch, Pierce, Fenner & Smith Incorporated and Roth Capital Partners, LLC as part of public offering of securities by the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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