## Edgar Filing: Fleming Ronnie L - Form 4

Form 4										
February 04	<u> </u>						OMB A	PPROVAL		
	UNITED	NITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0287		
Check t if no lor subject Section Form 4	nger <b>STATEN</b> to 16.	x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								
Form 5 obligation may con <i>See</i> Inst 1(b).	ons Section 17(	response Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								
(Print or Type	Responses)									
Fleming Ronnie L Symbolic Glob			mbol	bal Water Resources, Inc.			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	BAL WATER CES, INC., 21410	Middle) 3. I (M 01.	Date of Earliest T (onth/Day/Year) /31/2019	ransaction		X Director X Officer (gi below) Pro		% Owner her (specify )		
	(Street)	If Amendment, Daed(Month/Day/Yea	Ionth/Day/Year) Applicable Line) _X_ Form filed by C			One Reporting P	vint/Group Filing(Check One Reporting Person fore than One Reporting			
	, AZ 85027					Person		oporting		
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		Code	4. Securities nAcquired (A) o Disposed of (D (Instr. 3, 4 and (A) or	) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code V		Price					
Reminder: Re	port on a separate line	e for each class o	of securities benef	ficially owned d	irectly o	r indirectly.				

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. 6. Date Exercisable ar Number Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Unit	<u>(1)</u>	01/31/2019	А	44	(2)	(2)	Common Stock	44	\$ 0

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships						
		Director	10% Owner	Officer	Other			
Fleming Ronnie L C/O GLOBAL WATER RESOURCES 21410 N 19TH AVENUE #220 PHOENIX, AZ 85027	, INC.	Х		President and CEO				
Signatures								
/s/ Heather Krupa, attorney-in-fact	02/04/2019							
**Signature of Reporting Person	Date							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each phantom stock unit ("PSU") is the economic equivalent of one share of common stock of Global Water Resources, Inc. ("the Company").
- (2) The PSUs are exercisable at time of vesting and do not have a set expiration date.
- (3) The total includes prior grants of PSUs that have different vesting and expiration dates, as previously reported by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.