ALLEN MARY TEDD

Form 4

February 07, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

Estimated average

See Instruction

30(h) of the Investment Company Act of 1940

0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

Issuer

ALLEN MARY TEDD

Symbol NanoString Technologies Inc

5. Relationship of Reporting Person(s) to

[NSTG]

(Last) (First) 3. Date of Earliest Transaction

4. If Amendment, Date Original

Director X_ Officer (give title below)

10% Owner Other (specify

530 FAIRVIEW AVENUE N

(Street)

(State)

(Month/Day/Year) 02/05/2018

SVP, Operations

(Check all applicable)

(Middle)

(Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Filed(Month/Day/Year)

Person n Dominative Securities Assumed Disposed of an Reposicially O

SEATTLE, WA 98109

(City)

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1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired (A) or	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership
					Following	(Instr. 4)	(Instr. 4)
				(A)	Reported		
				(A)	Transaction(s)		
				or	(I.,		

(Instr. 3 and 4) Amount (D) Price

Common 02/05/2018 Stock

M 2,000 Α (1) 25,939 D

D

Common Stock

02/05/2018

F

Code V

593 (2) D

25,346

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired Disposed (Instr. 3, 4	(A) or of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Restricted Stock Units	(3)	02/05/2018		M		2,000	<u>(4)</u>	<u>(4)</u>	Common Stock	2,00
Stock Options (right to buy)	\$ 6.8	02/06/2018		A	20,000		<u>(5)</u>	02/05/2028	Common Stock	20,00
Restricted Stock Units	<u>(3)</u>	02/06/2018		A	47,647		<u>(6)</u>	<u>(6)</u>	Common Stock	47,64

Reporting Owners

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
ALLEN MARY TEDD							
530 FAIRVIEW AVENUE N			SVP, Operations				

Signatures

SEATTLE, WA 98109

/s/ Shannon Atchison, Attorney-in-fact 02/07/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units ("RSUs") convert into Common Stock on a one-for-one basis.
- (2) The reported shares were withheld to cover the reporting person's tax liability in connection with the vesting of RSUs. The shares were not issued to or sold by the reporting person.
- (3) Each RSU represents a contingent right to receive one (1) share of Issuer's common stock.
 - 1/3 of the RSUs vest on the first market trading day following the first anniversary of February 3, 2016 (the "RSU Vesting
- (4) Commencement Date"), and 1/3 of the RSUs vest annually each year on the first market trading day after the second and third anniversary of the RSU Vesting Commencement Date.

Reporting Owners 2

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- 1/48 of the shares subject to such option shall vest on the one-month anniversary of February 6, 2018 (the "Option Vesting
- (5) Commencement Date") and 1/48th of the total number of shares each monthly anniversary of the Option Vesting Commencement Date becoming fully vested on the four-year anniversary of the Option Vesting Commencement Date.
 - 1/3 of the RSUs vest on the first market trading day following the first anniversary of March 10, 2018 (the "RSU Vesting Commencement
- (6) Date"), and 1/3 of the RSUs vest annually each year on the first market trading day after the second and third anniversary of the RSU Vesting Commencement Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.