

Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 8-K

Firsthand Technology Value Fund, Inc.  
Form 8-K  
October 20, 2015

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report: October 20, 2015  
(Date of earliest event reported)

Firsthand Technology Value Fund, Inc.  
(Exact name of registrant as specified in its charter)

Maryland 814-00830 27-3008946  
(State or other jurisdiction of incorporation) (Commission File Number) (IRS Employer Identification Number)

150 Almaden Blvd., Suite 1250 95113  
San Jose, CA (Zip Code)  
(Address of principal executive offices)

(800) 976-8776  
(Registrant's telephone number, including area code)

Not Applicable  
(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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Item 8.01. Other Events

On October 20, 2015, Firsthand Technology Value Fund, Inc. issued a press release announcing its preliminary NAV of \$23.02 per share as of September 30, 2015 and its top portfolio holdings as of the same date. The top holdings include IntraOp Medical, Pivotal, Turn, Wrightspeed and QMAT. The press release also announced that, as of September 30, 2015, the Fund's top holdings constituted 50.6% of the Fund's preliminary net assets.

Item 9.01. Financial Statements and Exhibits

(a) Financial statements:

None

(b) Pro forma financial information:

None

(c) Shell company transactions:

None

(d) Exhibits

99.1 Press Release dated October 20, 2015.

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: October 20, 2015  
FIRSTHAND  
TECHNOLOGY  
VALUE FUND,  
INC.

By: /s/ Kevin Landis  
Kevin Landis  
*President*

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Exhibit Index

Exhibit No. Description

99.1 Press release dated October 20, 2015