

Gingrich James A  
 Form 4  
 September 05, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Gingrich James A

2. Issuer Name and Ticker or Trading Symbol  
 ALLIANCEBERNSTEIN HOLDING L.P. [AB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
 08/31/2017

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 Chief Operating Officer

C/O ALLIANCEBERNSTEIN L.P., 1345 AVENUE OF THE AMERICAS

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

NEW YORK, NY 10105

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
units rep. assignments of beneficial owner. of lp interests <sup>(1)</sup>	08/31/2017		S <sup>(2)</sup>	3,500 D	\$ 23.25 146,659	D	
units rep. assignments of beneficial owner. of lp interests <sup>(1)</sup>	08/31/2017		M	3,500 A	\$ 17.05 150,159	D	

Edgar Filing: Gingrich James A - Form 4

units rep. assignments of beneficial owner. of lp interests <sup>(1)</sup>	08/31/2017	S <sup>(2)</sup>	3,500	D	\$ 23.25	146,659	D
units rep. assignments of beneficial owner. of lp interests <sup>(1)</sup>	09/01/2017	S <sup>(2)</sup>	3,500	D	\$ 23.35	143,159	D
units rep. assignments of beneficial owner. of lp interests <sup>(1)</sup>	09/01/2017	M	3,500	A	\$ 17.05	146,659	D
units rep. assignments of beneficial owner. of lp interests <sup>(1)</sup>	09/01/2017	S <sup>(2)</sup>	3,500	D	\$ 23.35	143,159	D
units rep. assignments of beneficial owner. of lp interests <sup>(1)</sup>	09/05/2017	S <sup>(2)</sup>	3,500	D	\$ 23.35	139,659	D
units rep. assignments of beneficial owner. of lp interests <sup>(1)</sup>	09/05/2017	M	3,500	A	\$ 17.05	143,159	D
units rep. assignments of beneficial owner. of lp interests <sup>(1)</sup>	09/05/2017	S <sup>(2)</sup>	3,500	D	\$ 23.35	139,659	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities
---------------------------------	---------------------------	--------------------------------------	-----------------------------------	---------------------	------------------------------------	--	--

Edgar Filing: Gingrich James A - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				(Instr. 3 and 4)		
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
AB Holding Unit option (right to buy)	\$ 17.05	08/31/2017		M	3,500		01/23/2010 <sup>(3)</sup>	01/23/2019	AB Holding Units	3,500
AB Holding Unit option (right to buy)	\$ 17.05	09/01/2017		M	3,500		01/23/2010 <sup>(3)</sup>	01/23/2019	AB Holding Units	3,500
AB Holding Unit option (right to buy)	\$ 17.05	09/05/2017		M	3,500		01/23/2010 <sup>(3)</sup>	01/23/2019	AB Holding Units	3,500

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gingrich James A C/O ALLIANCEBERNSTEIN L.P. 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105			Chief Operating Officer	

## Signatures

/s/ David M. Lesser,  
attorney-in-fact

09/05/2017

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

## Edgar Filing: Gingrich James A - Form 4

Units representing assignments of beneficial ownership of limited partnership interests in AllianceBernstein Holding L.P. ("AB Holding Units").

- (2) Reporting Person sold AB Holding Units pursuant to a Rule 10b5-1 Plan.
- (3) The option vested in equal annual increments on each of January 23, 2010, 2011, 2012, 2013 and 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.