Edgar Filing: WHIRLPOOL CORP /DE/ - Form 4

Form 4	OL CORP /DE/											
May 11, 2017 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Multed States Securities and I Washington, D.C. STATEMENT OF CHANGES IN BEN SECURITIE Filed pursuant to Section 16(a) of the Security Section 17(a) of the Public Utility Holding Con- 30(h) of the Investment Com-							0549 FICL ities I mpar	AL OWI Exchange ny Act of	OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5			
(Print or Type 1. Name and Bitzer Mar	Address of Reporting	g Person <u>*</u>	Symbol			nd Ticker o		C	5. Relationship of I Issuer	Reporting Pers	on(s) to	
(Last) (First) (Middle) WHIRLPOOL CORPORATION, 2000 M-63N			WHIRLPOOL CORP /DE/ [WHR]3. Date of Earliest Transaction (Month/Day/Year)05/09/2017					, iikj	_X_ Director _X_ Officer (give t below)	_X_ Officer (give title Other (specify		
				ed(Month/Day/Year) Ap					Applicable Line) _X_ Form filed by Or	X_ Form filed by One Reporting Person Form filed by More than One Reporting		
(City)	(State)	(Zip)	Ta	ble I - N	lon-	-Derivativ	e Secu	rities Aca	uired, Disposed of,	or Beneficial	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Demed3.4. Securities Acquired (A) or TransactionDisposed of (D)5. Amount of SecuritiesCode(Instr. 3, 4 and 5)Beneficially				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Common Stock	02/23/2017			G		2,500	D	\$ 0	50,322	I	By trust for reporting person	
Common Stock	03/02/2017			G	V	11,590	D	\$ 0	0	D	By trust	

G V 11,590 A \$0

Μ

12,500 A \$132.19 12,500

Common

Stock

03/02/2017

05/09/2017

for

reporting person

61,912

Ι

D

Common Stock											
Common Stock	05/09/2017		S	10,800	D	\$ 183 (1)	3.7306	1,700	D		
Common Stock	05/09/2017		S	1,700	D	\$ 184 (2)	4.6706	0	D		
Common Stock	05/10/2017		М	8,024	А	\$ 1	32.19	8,024	D		
Common Stock	05/10/2017		S <u>(3)</u>	6,814	D	\$ 183 (4)	3.8036	1,210	D		
Common Stock	05/09/2017		G V	300	D	\$ 0		61,612	I f r	By trust or eporting berson	
Common Stock	05/09/2017		G V	300	A	\$ 0		632	f I i f	By trust for mmediate family nembers	
Common Stock								762.843	I S	01(k) Stock Fund	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not information contained in this form are not information contained to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) SEC 1474 (9-02)											
1. Title of Derivative Security (Instr. 3)			Code	Transactio Code		nber of ttive ties red (A) posed of 3, 4,	Expiration Date U (Month/Day/Year) (1		7. Title and A Underlying S (Instr. 3 and	Securiti	
				Code	e V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
	\$ 132.19	05/09/2017		М				02/15/2017(5)	02/15/2020	6 Common	12,5

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Employee Stock Options (Right to Buy)								
Employee Stock Options (Right to Buy)	\$ 132.19	05/10/2017	М	8,024	02/15/2017 <u>(5)</u>	02/15/2026	Common	8,0

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Bitzer Marc R WHIRLPOOL CORPORATION 2000 M-63N BENTON HARBOR, MI 49022	Х		PRES & CHIEF OPERATING OFFICER				
Signatures							
/s/ Bridget K. Quinn, Attorney-in-Fact		05/11/2017					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$183.38 to \$184.34, inclusive. The reporting person undertakes to provide to Whirlpool Corporation, any security holder of Whirlpool Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares

purchased at each separate price within the ranges set forth in this footnote.

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$184.52 to \$184.68, inclusive. The reporting person undertakes to provide to Whirlpool Corporation, any security holder of Whirlpool Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares

- Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.
- (3) Represents sales to cover the option exercise price and associated tax withholding obligation on acquisition of 1,210 shares.

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$183.8028 to \$183.82, inclusive. The reporting person undertakes to provide to Whirlpool Corporation, any security holder of Whirlpool

- (4) Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote
- (5) Remaining options will vest and become exercisable in two equal installments on 02/15/2018 and 02/15/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.