

UFP TECHNOLOGIES INC

Form 4

November 20, 2015

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GESTAL KENNETH L

(Last) (First) (Middle)

C/O UFP TECHNOLOGIES,  
INC., 172 EAST MAIN STREET

(Street)

GEORGETOWN, MA 01833

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
UFP TECHNOLOGIES INC [UFPT]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/18/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock, \$.01 Par Value	11/18/2015		M		3,500 A \$ 5.19	6,829	D
Common Stock, \$.01 Par Value	11/18/2015		M		1,846 A \$ 12.37	8,675	D
Common Stock, \$.01 Par Value	11/18/2015		M		3,500 A \$ 10.14	12,175	D
Common Stock, \$.01	11/18/2015		M		1,142 A \$ 4.13	13,317	D

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Par Value

Common  
Stock, \$.01 11/18/2015 S 1,730 D \$ 24 11,587 D  
Par Value

Common  
Stock, \$.01 11/18/2015 S 258 D \$ 23.81 11,329 D  
Par Value

Common  
Stock, \$.01 11/18/2015 S 2,300 D \$ 23.74 9,029 D  
Par Value

Common  
Stock, \$.01 11/18/2015 S 5,700 D \$ 23.57 3,329 D  
Par Value

Common  
Stock, \$.01 11/19/2015 M 1,866 A \$ 4.23 5,195 D  
Par Value

Common  
Stock, \$.01 11/19/2015 M 3,593 A \$ 4.13 8,788 D  
Par Value

Common  
Stock, \$.01 11/19/2015 S 5,459 D \$ 23.78 3,329 D  
Par Value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option	\$ 5.19	11/18/2015		M	3,500	07/02/2007 07/02/2017	Common Stock,	3,500

(Right to Buy)									\$ .01 Par Value	
Stock Option (Right to Buy)	\$ 12.37	11/18/2015	M	1,846	06/04/2008	06/04/2018			Common Stock, \$ .01 Par Value	1,846
Stock Option (Right to Buy)	\$ 10.14	11/18/2015	M	3,500	07/01/2008	07/01/2018			Common Stock, \$ .01 Par Value	3,500
Stock Option (Right to Buy)	\$ 4.13	11/18/2015	M	1,142	06/03/2009	06/03/2019			Common Stock, \$ .01 Par Value	1,142
Stock Option (Right to Buy)	\$ 4.13	11/19/2015	M	3,593	06/03/2009	06/03/2019			Common Stock, \$ .01 Par Value	3,593
Stock Option (Right to Buy)	\$ 4.23	11/19/2015	M	1,866	07/01/2009	07/01/2019			Common Stock, \$ .01 Par Value	1,866

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GESTAL KENNETH L C/O UFP TECHNOLOGIES, INC. 172 EAST MAIN STREET GEORGETOWN, MA 01833	X			

## Signatures

Patrick J. Kinney, Jr. as attorney-in-fact for Kenneth L. Gestal 11/20/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.